

PQS Independent type-testing protocol

TITLE: Data logger and machine-to-machine interface for Equipment Monitoring Systems

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1. Scope

This document describes the procedure for verifying the performance of data loggers integrated within appliances and the required appliance connections for data and power transfer (i.e. Machine-to-Machine interface (M2M)) that support the functionality of external devices, including external EMDs (E-EMD). The integrated logger and M2M augment the function of an appliance to include data recording and local access to those data.

2. Normative References

European Union Directive 2002/96/EC of the European Parliament and of the Council of 27 January 2003 on waste electrical and electronic equipment (WEEE)

ISO 8601-1:2019 - Date and time - Representations for information interchange - Part 1: Basic rules

IEC 60529 Ed. 2.2 b: 2013 Degrees of protection provided by enclosures (IP Code) IEC 61000-6-1:2019 Electromagnetic compatibility (EMC) - Part 6-1: Generic standards -Immunity standard for residential, commercial, and light-industrial environments IEC 61000 6 3:2020 Electromagnetic compatibility (EMC) - Part 6 3: Generic standards

IEC 61000-6-3:2020 Electromagnetic compatibility (EMC) - Part 6-3: Generic standards - Emission standard for residential, commercial, and light-industrial environments

IEC 61000-6-8:2020 Electromagnetic compatibility (EMC) - Part 6-3: Generic standards - Emission standard for professional equipment in commercial and light-industrial environments

ISO 14001: 2015 Environmental management systems - Requirements with guidance for use

ISO/IEC 17025: 2017 General requirements for the competence of testing and calibration laboratories

ISO/IEC 27001:2013 Information technology—Security techniques—Information security management systems--requirements

ISO 6709:2008 Standard representation of geographic point location by coordinates ISO 8201:2017 Alarm systems — Audible emergency evacuation signal — Requirements ISO 9001:2015 Quality Management Systems – Requirements

3. Terms and definitions

Absolute time: Coordinated Universal Time (UTC) time derived from an independent verified source (e.g. cellular tower, GPS, Internet time server), standardized according to **ISO 8601** Internet Date Time profile, using days, hours, minutes, and seconds without separators, and including the time zone specifier "Z", short for "Zulu" and indicating zero offset from UTC (YYYYMMDDThhmmssZ).

Alarm: An audio and/or visual indication of appliance or device performance that is outside safe or normal operating conditions and where the cause is driven primarily by appliance use or environmental conditions. Alarms are defined by WHO and/or immunization programmes.

Appliance: The cold chain appliance or device that is the subject of monitoring. This may be a vaccine refrigerator, freezer, cold room, refrigerated vehicle, transportable storage, or other device which is being prequalified under specification **WHO/PQS/E006/DL01**.

- AC supply appliance: A cold chain storage device that operates on an input supply of alternating current.
- DC supply appliance: A cold chain storage device that operates on an input supply of direct current.

Communication latency: The maximum allowable period between data transfers between logger and EMD.

Data object: A standardized identifier of a unique administration, performance, use or environmental metric that is used to record and analyse data.

Employer: The organization responsible for ownership and/or utilization of an appliance or device within an immunization programme, health system or initiative.

Energy Harvest Control (EHC): A control device or system to enable the use of surplus solar photovoltaic electricity for powering other electricity consuming devices in addition to an immunization appliance, when that electricity is not needed for cooling.

Equipment Monitoring System (EMS): The general term used to describe the associated components, sensors, devices, appliances, and data systems that enable cold chain equipment monitoring.

Equipment Monitoring Device (EMD): A device that functions to 1) retrieve data from the appliance logger and other onboard sensors and 2) store, analyse and communicate data, errors, and alarms, and is the subject of specification **WHO/PQS/E006/EM01.** An EMD may be integrated within or external to the appliance as further defined below:

- External Equipment Monitoring Device (E-EMD): An EMD that is not integrated in the appliance and utilizes the M2M connection for data transmission and optional power supply.
- Integrated Equipment Monitoring Device (I-EMD): An EMD that has some or all its components built into the appliance at the point of manufacture. The I-EMD does not utilize the M2M for data transmission or power supply. The M2M affords access to the integrated logger for E-EMDs.

Error code: An alphanumeric code that is used to determine the nature of an appliance or device technical problem, and why it occurred. Errors are defined as related to equipment functionality that is not primarily user or environmentally related, but rather indicates hardware or software malfunction, defect, damage, or other issues.

Host: The party responsible for managing the Remote Data System.

Ice-lined refrigerator (ILR): A mains-powered compression-cycle appliance meant for vaccine storage or combined vaccine storage and water-pack freezing. These appliances are designed for operation in areas with intermittent electricity supply.

In writing: Communication by letter, fax, or email.

Key Performance Indicator (KPI): A metric computed using raw data object recordings, which provides a more summarized or aggregated assessment of the environment, performance, safety and/or use of cold chain equipment. KPIs are defined in the EMS Data Specification WHO/PQS/E006/DS01.

Legal manufacturer: The natural or legal person with responsibility for the design, manufacture, packaging and labelling of a product or device before it is placed on the market under their own name, regardless of whether these operations are carried out by that person or on their behalf by a third party. Logger: A data recording device that is integrated within an appliance or transport device and is the subject of specification **WHO/PQS/E006/DL01**. It stores data for use and analysis and provides access to its data.

Machine-to-Machine (M2M) interface: The standardized data and power transfer interface between logger and E-EMD, enabling interoperable function of EMDs and appliances. The M2M also enables portable devices like laptop computers and mobile phones to access logger data. The M2M is physically part of the appliance.

Montreal Protocol: Montreal Protocol on Substances that Deplete the Ozone Layer. Relative time: A timestamp with an arbitrary but constant reference point (e.g. device commissioning is t=0), standardized according to **ISO 8601** Durations profile, represented by the format PnDTnHnMnS, where the [n] is replaced by the value for each of the day and time elements that follow the [n].

Remote Data System: A networked, server-based storage system for the collection, management, and communication of EMD data. The Remote Data System is managed by the host.

Reseller: A commercial entity, licensed to act on behalf of a legal manufacturer, and which carries product liability and warranty responsibilities no less onerous than those carried by the legal manufacturer.

Solar Direct Drive (SDD) refrigerator: A vaccine refrigerator or combined vaccine refrigerator and water-pack freezer powered by a solar electric system with no battery used to power the compressor or cooling circuit.

4. Applicability

Type-testing will be carried out by an independent **ISO/IEC 17025** testing laboratory, accredited by WHO.

The requirement for type-testing may be relaxed if the appliance undergoing prequalification meets both of the following conditions:

- The logger and M2M design incorporated into the appliance has been previously type-tested by **ISO/IEC 17025** testing laboratory, with no functional changes to the design since the previous type testing.
- The appliance which the logger and M2M is incorporated into is of a similar design (e.g. same compressor type, power electronics, and control system) as the design that the same logger and M2M were previously type-tested with.

If both conditions are satisfied, the legal manufacturer or reseller should include in the dossier the previous type-testing report, as well as a detailed summary of all differences in design between the appliance that was previously tested and the appliance which is currently being prequalified. The intent of this provision is to reduce redundant testing in cases where the legal manufacturer or reseller is implementing a logger / M2M into numerous appliances in a product line that are functionally similar.

5. Type-testing procedure

5.1 Evidence of conformity assessment

Appliances containing loggers shall carry the CE mark, UL mark and/or equivalent internationally accepted evidence of conformity assessment. Appliances that are not ordinarily marked (e.g. cold rooms, refrigerated vehicles) are exempt, but relevant components should have evidence of conformity assessment. Certifications from accredited tests labs are preferable. If conformity is self-declared, then a technical construction file shall be provided as part of the dossier to validate conformity.

5.2 <u>Number of samples</u>

The legal manufacturer or reseller must supply the testing laboratory with a full duplicate set of the Product Dossier already supplied to WHO in accordance with the requirements of specification Clause 7. Two samples are to be provided as described below.

Sample 1: Legal manufacturer shall provide appliance with integrated logger & M2M. For cold rooms and refrigerated vehicles, legal manufacturer may provide a logger and M2M with representative sensors and instructions for simulating appliance power supply to the logger and M2M.

- Sample 1's rechargeable energy stores for data recording purposes are to be completely depleted to begin the tests; legal manufacturer must ensure logger submitted for testing is depleted to avoid extra time and costs in testing. Any energy stores dedicated to only timekeeping functions should not be depleted.
- **Sample 1** should have operated for at least 60 days before the energy stores were depleted, so that its memory contains at least one full 60-day historical data file.

Sample 2: Legal manufacturer to supply a second logger sample to be used in *Test 8: Disruption to timekeeping*. Logger is specially prepared so that its timekeeping functions are disrupted when there is no external power. For instance, a primary cell used to power a real-time clock may be disconnected. This device may be in an appliance or supplied standalone with representative sensors connected.

5.3 <u>Test procedure</u>

5.3.1 General test notes

- Use Sample 1 for all tests other than *Test 8: Disruption to timekeeping*.
- Some tests may be performed at the same time as tests in the E003 verification protocol that corresponds to the appliance being tested.
- *Test 2: Logger power wakeup and recharging*, (if applicable) is intended to be performed first so that energy stores do not need to be depleted during the verification process.
- *Test 7: Logger data review*, is best performed after all other tests on Sample 1 in which data is logged.
- The rest of the tests may be performed in any order.

- Data may be downloaded after every test to verify compliance or at the end of all tests.
- A list of materials and equipment needed for the tests is in **Annex 1**.

5.3.2 Test 1: Type examination

- **Step 1:** Check sample against product dossier to verify consistency; check for any defects or damage to the appliance.
- Step 2: Record any differences between the sample ordered and the one received.
- **Step 3:** Tabulate the following information for each model submitted for examination. Obtain any additional supporting information required in writing from the legal manufacturer or reseller and attach this information to the report:

Identification:

- Code (a unique identifier to be assigned by the testing laboratory).
- Model.
- Legal manufacturer or reseller.
- Country of origin.
- Conformity assessment markings (e.g. CE, UL mark).

Performance characteristics:

- Legal manufacturer lists any manufacturer-specific data objects along with schema definition, and attests compliance with specification Clause 4.2.9.
- Legal manufacturer attests that logger and M2M are compatible with external devices, including external EMDs from other manufacturers, as in specification Clause 4.5.6 and that the Mass Storage Device format is FAT16 or FAT32 as in specification Clause 4.5.5.
- Legal manufacturer declares whether wireless access is available and provides details on type and means of operation for users, as in specification Clause 4.5.10.
- Legal manufacturer declares whether logger data analysis software is available, and if so, provides download location and instructions for use, as in specification Clause 4.5.11.
- Legal manufacturer attests that non-volatile solid-state memory is used as in specification Clause 4.2.13.
- Legal manufacturer attests to energy storage lifetime through explanation of energy storage design as in specification Clause 4.2.18.
- Legal manufacturer has provided evidence of electromagnetic compatibility as in specification Clause 4.2.20.

Materials and construction:

- Legal manufacturer attests to compliance with corrosion requirements as in specification Clause 4.3.5.
- Legal manufacturer attests to compliance with ozone-depleting chemical requirements as in specification Clause 4.7.1.

- Legal manufacturer attests to compliance with other restricted materials requirements as in specification Clause 4.7.2.

Environmental requirements:

- Legal manufacturer attests that ambient temperature operation conforms to specification Clause 4.3.1.
- Legal manufacturer attests that ambient humidity operation conforms to specification Clause 4.3.2.
- Legal manufacturer has provided evidence of conformity to dust and water ingress requirements of specification Clause 4.3.4.

Physical characteristics:

- No requirements.

Interface requirements:

M2M physical interface conforms/does not conform to specification Clause 4.5.2.

Human factors:

- M2M location on appliance conforms/does not conform to specification Clause 4.6.1.
- M2M port markings conform/do not conform to specification Clause 4.6.2.

Warranty:

- Warranty conforms/does not conform to specification Clause 4.8.

Servicing provision:

 Legal manufacturer provides evidence of conformity of maintenance provisions to specification Clause 4.9.

Spare parts:

- Legal manufacturer attests to compliance with spare parts provisions in specification Clause 4.9.1.

Disposal and recycling:

 Legal manufacturer provides evidence of conformity with disposal and recycling requirements of specification Clause 4.10.

Instructions:

- Instructions conform/do not conform to specification Clause 4.11.
- Legal manufacturer provides evidence of conformity of training to specification Clause 4.12.
- Legal manufacturer provides evidence of instructions detailing sensor replacement process per specification Clause 4.12.2.
- Legal manufacturer provides evidence of conformity of commissioning services to specification Clause 6.

Packaging:

- No requirements.
- Step 4: Take digital photographs of all sides of the sample. Take any other photographs needed to illustrate features of the device for the report. These images should be provided for attachment to the PQS report.

Acceptance criteria: Inspection indicates full conformity with all specification requirements.

Rejection criterion: Failure to meet one or more of the acceptance criteria.

5.3.3 Test 2: Logger power wakeup and recharging

- **Applicability:** Loggers with rechargeable energy storage for recording functions; loggers utilizing non-rechargeable batteries shall not complete this test.
- **Test Conditions:** Ambient temperature +20°C to +25°C, appliance starts disconnected from input power.
- **Logger energy storage for recording status at start:** Fully depleted; not currently recording monitored data; must still be keeping relative time.
- Step 1: Connect a simulated load of 5W (5V, 1A) to the M2M power plug on the appliance. See Annex 1 for a description of recommended loads.
- **Step 2:** Steps 2-4 shall be completed in less than 10 minutes to prevent significant charging of the logger via the connected lab computer. The limited power available from an unpowered USB Type-A hub also helps limit charging. Connect Type-A end of the USB cable to an unpowered hub as described in **Annex 1** connected to the lab computer. Connect the other end of the cable to the appliance M2M USB-C data connection.
- **Step 3:** Use lab computer's folder explorer to open the logger as a mounted USB device and download all available data files.
- **Step 4:** Disconnect USB-C cable from appliance.
- Step 5: Connect appliance to supply power for a period of eight hours.
- **Step 6:** Remove appliance supply power after eight hours and leave disconnected for 48 hours.
- Step 7: After 48 hours have passed, repeat Steps 2-4 to download all data files.
- **Step 8:** Inspect raw data file from Step 3 to verify that logging recording function was stopped (i.e. logger power depleted) prior to connection.
- **Step 9:** Inspect raw data file from Step 7 to verify that logging function continued for 48 hours following disconnection from supply power.

Acceptance criteria:

- Fully depleted logger without supply power was "awoken" by the connection of external computer and made its contents accessible.
- logger successfully recorded 48 hours of continuous data following eight hours of charging.

Rejection criteria:

- Failure of fully depleted logger to be "awoken" by the connection of external computer power supply and data connection; no access to logger files or folders.
- Logger fails to record data for 48 hours following eight hours of charging.

5.3.4 Test 3: Logger data access

- Applicability: all loggers & M2M connections.
- **Test Conditions:** Ambient temperature $+20^{\circ}$ C to $+25^{\circ}$ C.
- Step 1: Connect a simulated load of 5W (5V, 1A) to the M2M power plug on the appliance.
- **Step 2:** Connect M2M USB Type-C data port to a lab computer with an appropriate cable.
- **Step 3:** Use computer folder explorer to open the logger as a mounted USB device; check properties to verify that:
 - Type is listed as USB Drive.
 - File system is listed as FAT16 or FAT32. Note that some versions of Microsoft Windows do not show "FAT16", but rather just "FAT".
- **Step 4:** Explore logger folder and file structure. Note file and folder names.
- **Step 5:** Attempt to download all data to laboratory computer.
- **Step 6:** Attempt to perform write-access functions, including deleting, renaming, adding files and folders.
- **Step 7:** Disconnect data connection and reconnect to ensure no write-access functions affected the files and folders. Note that "synchronization", "current data" and 60DTR summary files may have slightly different filenames because the filenames contain the timestamp when USB mount occurs.

Acceptance criteria:

- o Logger mounts as a FAT16 or FAT32 USB Drive.
- User able to access and download all files on logger without need for drivers or software.

Rejection criteria:

- o Logger does not mount as USB Mass Storage FAT16 or FAT32 Drive.
- User able to delete, rename, or add files or folders.
- 5.3.5 Test 4: Endurance of timekeeping and recording functions, including timestamp drift
 - Applicability: all appliances, loggers & M2M connections.
 - **Relevant appliance tests:** for ILRs, this test may be performed in conjunction with the holdover time test in the relevant ILR verification protocol. For SDDs, this test must be performed separate from and after the autonomy time test in the relevant verification protocol because the duration of this test depends on the rated

autonomy. Also, this test requires a complete power interruption, while the autonomy test is performed with minimal but non-zero simulated solar power. For transportable powered appliances, this test may be performed in conjunction with the primary performance test.

- **Step 1:** Stabilize appliance for 48 hours at rated upper ambient temperature limit (e.g. +43 °C for "hot" zone appliances) based on Holdover or Autonomy test.
- **Step 2:** Remove appliance supply power at the end of the next power cycle.
- Step 3: After removing power supply to the appliance, repeat Steps 2 and 5 of *Test* 3: Logger data access, to download data files from the logger. Referencing an accurate Internet-provided clock, note the time to the nearest second at the moment of connecting the USB plug to the logger.
- **Step 4:** Leave appliance disconnected from power. For ILRs, evaluate holdover time.
- **Step 5:** Once the following duration has elapsed since power disconnection, repeat Steps 2 and 5 of *Test 3: Logger data access* to download data files from the logger:
 - Stationary solar-powered appliances including cold/freezer rooms: 1.5x rated autonomy time or 96 hours (at maximum rated ambient temperature), whichever is greater.
 - Stationary mains-powered appliances including cold/freezer rooms: 2.0x rated holdover time or 96 hours (at maximum rated ambient temperature), whichever is greater.
 - Transportable powered appliances: 1.5x rated independence time or 18 hours, whichever is greater.
 - Refrigerated vehicles: 1.5x rated non-idle run time of the refrigeration unit.
- **Step 6:** Open the "Current data" raw data file as well as the 60DTR summary file downloaded in Step 5, and by comparing the timestamps of data records with the files downloaded in Step 3, confirm that the logger has continued to save essential data objects as defined in specification Clause 4.2.7 every 15 minutes through the period of the power disconnection.
- Step 7: If total time elapsed since the data download event in Step 3 is less than 120 hours, reconnect supply power to the appliance while leaving the ambient temperature at the upper rated ambient temperature limit, and download logger files again when the total time elapsed since Step 3 is greater than 120 hours. Referencing an accurate Internet-provided clock, note the time to the nearest second at the moment of connecting the USB plug to the logger for the data download event.
- **Step 8:** Calculate and report the logger elapsed time between the data downloads in Step 3 and Step 7 by calculating the difference between the relative timestamps in the filenames of the "Current data" raw data files downloaded in each step. Also calculate and report the difference between noted download times based on the Internet-provided clock. Calculate and report timestamp drift in parts per million (ppm) using the following formula.

Timestamp drift (ppm) = (logger elapsed time in seconds - internet clock elapsed time in seconds) × 1,000,000 internet clock elapsed time in seconds

Acceptance criteria:

- Ability to access logger and download data without appliance supply power.
- Verification that logger has continued to save required data objects through the period of power disconnection.
- Absolute value of timestamp drift calculated in step 8 is less than 114 parts per million (ppm), translating to less than 60 minutes of timestamp drift per year.

Rejection criteria:

- Inability to access logger and download data without appliance supply power.
- Any gaps in logging of essential data objects during power disconnection.
- Absolute value of timestamp drift calculated in step 8 is greater than 114 parts per million (ppm), translating to greater than 60 minutes of timestamp drift per year.

5.3.6 Test 5: Door opening

- Applicability: all appliances, loggers & M2M connections.
- **Relevant appliance tests:** This test may be performed in conjunction with the door opening test in the relevant appliance verification protocol, e.g. Test 11 in **WHO/PQS/E003/RF03-VP.4** and Test 9 in **WHO/PQS/E003/RF05-VP.5**. The doors are opened in the appliance tests to verify thermal performance; here they are used to verify that door opening data is generated.
- **Step 1:** Open all compartment lids/doors for 10 continuous minutes, then close the lids/doors. While the lids or doors are open, repeat Step 2 of Test 3: Logger data access, and download the "sync" data file from the logger.
- **Step 2:** Verify that the IDRV and/or IDRF instantaneous door opening data objects in the sync file are correct (values represent the number of seconds that the doors have been open).
- **Step 3:** After waiting at least five minutes from the time that the door(s) is (are) closed, repeat Steps 2 and 5 of *Test 3: Logger data access*, to download data files from the logger.
- **Step 4:** Verify that the door opening duration values (the DORV and DORF data objects) in the data are correct.

Acceptance criterion:

- Instantaneous door opening durations IDRV (and/or IDRF for a freezer compartment) match the length the door was open at the time of USB mount in Step 1.
- Logged door opening durations DORV (and/or DORF for a freezer compartment) match the total length the door was open.

Rejection criterion:

• Failure to correctly record door openings

5.3.7 Test 6: M2M load safety

- **Applicability:** all E003 category appliances, loggers & M2M connections. E001 (cold rooms) and E002 (refrigerated vehicles) appliances need not perform this test.
- **Relevant appliance tests:** This test can be performed during or after the appliance's cool-down or stable running tests when the appliance is connected to power.
- **Step 1:** Connect appliance to supply power.
- **Step 2:** Connect a simulated load to the M2M power plug on the appliance.
- Step 3: Increase simulated load in increments of 0.05 A, measuring the M2M output voltage at each step.
- **Step 4:** Record what load level (in Amps) the appliance no longer outputs supply power to the load (the current limit).

Acceptance criteria:

- M2M output voltage is in the range 4.75 V to 5.25 V during the entire test.
- M2M output current limit is in the range 1.05 A to 1.15 A.

Rejection criterion:

- Failure to meet both acceptance criteria.
- 5.3.8 Test 7: Logger data review
 - Applicability: all appliances, loggers & M2M connections.
 - Step 1: After all appliance performance testing is complete, connect lab computer to logger and download all available files and folders from the root directory of the mass storage device presented by the logger over the M2M.
 - Step 2: Verify that the files and folders satisfy the naming conventions included in specification WHO/PQS/E006/DL01 Clause 4.5.13:
 - Verify that in the root directory of the logger's mass storage device there is exactly one JSON raw data file following the naming convention of 0123456789_CURRENT_DATA_PnDTnHnMnS.json.
 - Verify that in the root directory of the logger's mass storage device there is exactly one JSON synchronization data file following the naming convention of 0123456789_SYNC_PnDTnHnMnS.json.
 - Verify that the timestamp portions of the CURRENT_DATA and SYNC filenames are the same.
 - Verify that in the root directory of the logger's mass storage device there is a 60-Day aggregated summary report following the naming convention of 0123456789_60DTR_SUMMARY_PnDTnHnMnS.pdf.
 - Verify that in the root directory of the logger's mass storage device there is a folder named "DATA_HISTORY".
 - Verify that in the DATA_HISTORY folder there is at least one JSON raw data file following the naming convention of 0123456789_DATA_PnDTnHnMnS.json.

- Step 3: Using an online JSON schema validator (for example, https://www.jsonschemavalidator.net/) or one of the JSON schema validator tools as downloaded from https://json-schema.org/implementations.html#validators with the published PQS JSON schema included in specification
 WHO/PQS/E006/DS01 validate that the contents of the "current" raw data and synchronization files located in the root directory and the historic raw data files located in the "DATA_HISTORY" folder comply with the published JSON schema.
- **Step 4:** Review the 60-Day aggregated summary report (PDF file) and verify that the report contains the following data as described in more detail in specification Clause 4.5.14:
 - Administrative information: Appliance PQS Code, Serial Number, Report creation time (relative time), and alarm threshold information.
 - Environment, performance and use data: Data from the previous 60 days aggregated in 24-hour periods, with the most recent days at the top. Verify that the minimum set of information listed in specification Clause 4.5.14 is included.
- Step 5: Note the file size of data created over the test period; verify whether all recorded data may be stored for at least a period of one year based on the average data generated and recorded during the test period. For example, if test period was one month and generated 5 MB of data, the total logger memory size shall be at least 60 MB (5 MB per month * 12 months).

Acceptance criteria:

- Data file naming conventions comply with specifications.
- Recorded data complies with specifications in terms of completeness of required data objects, formatting and recording period.
- Summary report complies with specifications.
- Logger has sufficient storage to record at least one year of administrative, environmental, performance, and use data.

Rejection criterion: Failure to comply with any of the above acceptance criteria.

5.3.9 Test 8: Disruption to timekeeping

- Applicability: All loggers. Use logger Sample 2.
- Appliance or Logger power status at start: disconnected.
- **Logger energy storage for recording status at start:** Fully depleted; not currently recording monitored data; not keeping relative time.
- **Step 1:** Connect Type-A end of the USB cable to an unpowered hub connected to the lab computer. Connect the other end of the cable to appliance M2M USB-C data connection.
- **Step 2:** Use computer file explorer to download current raw data JSON file from the logger's root directory, if it exists.
- **Step 3:** Disconnect the USB-C cable from the M2M interface.

- **Step 4:** Inspect the raw data file and note if data with timestamps had been recorded before the sample was submitted for testing.
- **Step 5:** Apply power to the appliance or logger and allow it to operate at least 30 minutes before removing power.
- **Step 6:** If no data had been recorded in Step 4, wait 30 minutes, then apply power again for at least 30 minutes and remove power again. By this point, regardless of initial conditions, the logger should have experienced at least two periods of power and no power/no timekeeping in the intervals between those periods.
- **Step 7:** Download the current raw data file as in Steps 1-3.
- Step 8: Inspect the raw data file from Step 7 and verify that data was recorded during the powered period of Step 5 and also Step 6 if power was applied a second time. Verify that the RELT timestamps are strictly increasing throughout the data file. Also verify that the RTCW field's value changes when there is a disruption to timekeeping as power is removed and that it corresponds to the RELT timestamp of the first data recorded after timekeeping resumes. See Annex 2 for examples of passing and failing timestamps.

Acceptance criteria: All of the below must be satisfied.

- Fully depleted logger without supply power was "awoken" by the connection of external computer and made its contents accessible.
- Logger records data when supplied with power.
- RELT timestamps in data file are strictly increasing.
- RTCW values are constant during periods of continuous timekeeping and change when timekeeping is disrupted. The value recorded after a disruption to timekeeping corresponds to the RELT timestamp when operation resumed (the value is after the last RELT timestamp before operation resumed).

Rejection criteria: Any of the below are true.

- Failure of fully depleted logger to be "awoken" by the connection of external computer power supply and data connection; no access to logger files or folders.
- Logger does not record data when powered.
- RELT timestamps in data file decrease or reset to zero when timekeeping is disrupted.
- RTCW values do not change when timekeeping is disrupted.

5.4 <u>Test criteria for qualification</u>

A final report shall be issued after all testing is complete. The report of the tests shall contain the following data and analyses:

- **Summary:** Conclusions and recommendations.
- **Test 1:** Comments on samples received, tabulated data, compliance with required specifications (plus supporting documentation) and photographs of samples.
- Test 2: Results of logger power wakeup and recharging test.
- Test 3: Results of logger data access test.
- Test 4: Results of endurance of timekeeping and recording functions test.

- Test 5: Results of door opening test.
- Test 6: Results of M2M load safety test
- Test 7: Results of logger data review.
- **Test 8:** Results of disruption to timekeeping test.
- Annexes: A pre-approved test protocol verifying that the procedures set out in this document have been followed. Description of the test apparatus. Test chamber temperature and humidity records. Copies of reference thermometer and humidity measurement calibration certificate(s). Additional supporting documentation requested and received from the legal manufacturer or reseller during the course of the type-testing.

6. Quality control checklist

6.1 <u>Quality control standards</u>

All testing and reporting must be carried out in accordance with the requirements of **ISO 17025:2017** or later edition.

6.2 Quality control checklist

An on-site inspection of the manufacturing plant is not required.

6.3 <u>Quality control evaluation</u>

Not required.

7. Prequalification evaluation

An appliance will qualify for inclusion on the register of PQS prequalified CCE that include data logger and M2M functionality in accordance with WHO procedures provided the final report indicates full conformity with the requirements of specification **WHO/PQS/E006/DL01**.

8. Modified products

The legal manufacturer or reseller shall notify WHO in writing of changes that affect the performance of the logger, M2M, or their containing Appliance related to the requirements in specification **WHO/PQS/E006/DL01**. For example:

- Increased peak or average power draw by the logger from DC supply appliances, because these changes may affect the appliance's thermal performance.
- Similar to the case above, increased output current limit on the M2M for SDDs, because this change may affect the appliance's thermal performance.
- Changes to the logger's filesystem or data formatting, because these changes may affect interoperability with other EMS components.

WHO will carry out a desk evaluation of the reported change(s). If any change is deemed adversely to affect the performance of the logger, M2M, or appliance, WHO may request full or partial re-verification based on the test procedures described in this document.

Annex 1 – Materials and equipment

The following materials and equipment are useful or required to complete this verification protocol:

- An environmental chamber capable of holding the logger if it is being tested by itself, or a complete appliance if the logger is being tested in conjunction with an appliance that contains it. Must be able to reach +43 °C.
- Standard USB Type-C "legacy" cable with USB Type-C plug on one end and USB Type-A plug on the other end.
- USB Type A unpowered hub, that is a USB hub that derives all its power from the host computer without a separate power input. This hub will be used to connect to the logger device under test (DUT) in *Test 2* and ensure that the logger is powered by no more than 500 mA. The intention of this arrangement is to minimize the amount of battery charging that can happen during that part of the test.
- Computer or mobile device to download data from the DUT.
- A simulated load for the M2M 5 V power output. It should be adjustable in 0.05 A or smaller steps up to the maximum expected output of 1.15 A. This could be a set of switchable resistors or a programmable DC load.
- Cable with a 2.1 mm ID / 5.5 mm OD jack on one end to connect the M2M power output plug to the simulated load.
- Access to an accurate time service, such as a website that displays the present time in seconds synchronized to network time sources.
- Tools to validate logger JSON data files to a JSON schema, either custom software, one of a number of software tools available for download at https://json-schema.org/, or one of a number of online JSON schema validators, such as https://www.jsonschemavalidator.net/.
- Helpful, but not required: a software tool for loading and examining logger JSON data files. An example is a spreadsheet like Microsoft Excel.

Annex 2 – Examples of Data Timestamps

For simplicity, this annex only shows the "records" portion of current raw data files, and only shows three data objects: the timestamp, RELT; the timestamp when clock started its most recent continuous operation, RTCW; and vaccine compartment temperature, TVC Deleted data are shown by ellipses ("…").

A2.1 Example data file obtained in Test 8, Step 2

Assume that the legal manufacturer had operated the logger with continuous logging since manufacture for three days and two hours with its sensors in room ambient temperature before preparing it for test. Assume also that timekeeping was disrupted at three days, two hours, and 10 seconds. The last data records might look like below, where the value of RTCW indicates continuous logging since time zero.

```
{
  "records": [
    {"RELT": "P3DT1H45M, "RTCW": "PT0S", "TVC": 25.6, ...},
    {"RELT": "P3DT2H, "RTCW": "PT0S", "TVC": 25.6, ...}
  1
}
A2.2 Example of a compliant data file obtained in Test 8, Step 7
{
. . .
 "records": [
    {"RELT": "P3DT1H45M, "RTCW": "PT0S", "TVC": 25.6, ...},
    {"RELT": "P3DT2H, "RTCW": "PT0S", "TVC": 25.6, ...},
    {"RELT": "P3DT2H15M, "RTCW": "P3DT2H1S", "TVC": 21.7, ...},
    {"RELT": "P3DT2H30M, "RTCW": "P3DT2H1S", "TVC": 21.6, ...}
  ]
}
```

There are two additional records, corresponding to the half-hour of power applied in Step 5. RELT is continuously increasing. In this case, it resumes with a value that is 15 minutes after the last value recorded at the legal manufacturer.

RTCW enables time correction for all records in DR2, since an unchanged RTCW changes and takes on the value of the RELT clock when timekeeping resumes. In this case RELT starts at the last known recorded RELT value, plus one second. It could also start at P3D2H10S if the logger had kept track of the 10 extra seconds between the last recorded data and the time the device was unpowered.

A2.3 Example of a non-compliant data file: timestamps are not strictly increasing

```
{
...
    "records": [
...
    {"RELT": "P3DT1H45M, "RTCW": "PT0S", "TVC": 25.6, ...},
    {"RELT": "P3DT2H, "RTCW": "PT0S", "TVC": 25.6, ...},
    {"RELT": "PT15M, "RTCW": "PT0S", "TVC": 21.7, ...},
    {"RELT": "PT30M, "RTCW": "PT0S", "TVC": 21.6, ...}
]
```

Here the timestamp resets to zero when power resumes.

A2.4 Example of a non-compliant data file: disruption not recorded

In this case, RTCW does not change so it is impossible to determine when timekeeping was disrupted.

Revision History

Revision history						
Date	Change summary	Reason for change	Approved			
November 2023	Added IEC 61000-6-8 as option instead of IEC 61000-6- 3.	IEC 61000-6-3 applies to residential, commercial, and light-industrial environments and IEC 61000-6-8 applies to professional equipment in commercial and light-industrial environments.	IG			
November 2023	Minor edits	Clarifications	IG			