

External Guidance - FPP Assessment Application Wizard guidance

Document Version Number: 0.2

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1. Scope

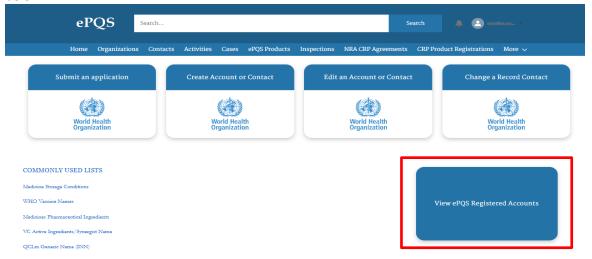
This guidance covers submitting an application(s) for FPP assessment via the WHO ePQS Portal. The applications include new dossier submissions, requalification applications, and additional information. The guidance provides step-by-step instructions on how to use the Portal Application Wizard to create, submit, receive feedback, and respond to queries on the portal.

2. Prerequisites

Access the application wizards via the ePQS Portal – https://who.my.site.com/ePQS/s/login/. The WHO must grant you access to the portal before you can use it. To seek registration, apply via the form on the Portal landing page.

Some types of applications have prerequisite conditions. For instance, one can only create a requalification application if the respective prequalified product exists in the ePQS system for the applicant account.

Many wizards include steps requiring the applicant to select an account from the ePQS database. For instance, when an applicant wishes to create a site for a product or nominate a reference authority. Users can verify these accounts exist before commencing an application by referring to the spreadsheet available from the "View ePQS Registered Accounts" tile, as indicated below.



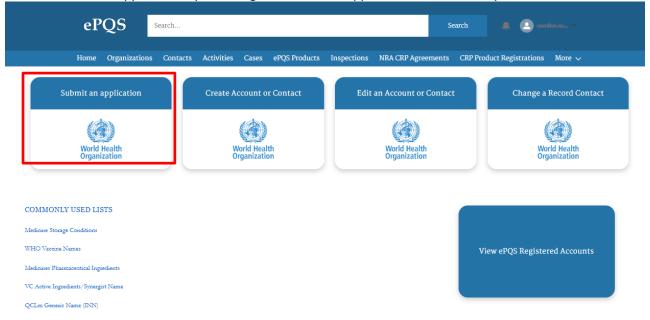
3. Accessing the Portal

Access the Applications wizards via the ePQS Portal - https://who.my.site.com/ePQS/s/login/.

4. Wizard steps

4.1 Wizard commencement

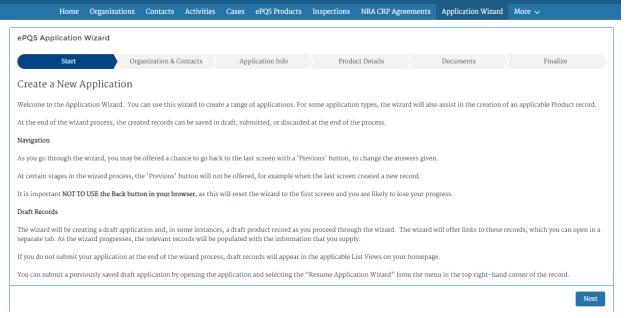
Initiate an application by selecting the Submit an application tile from the portal.



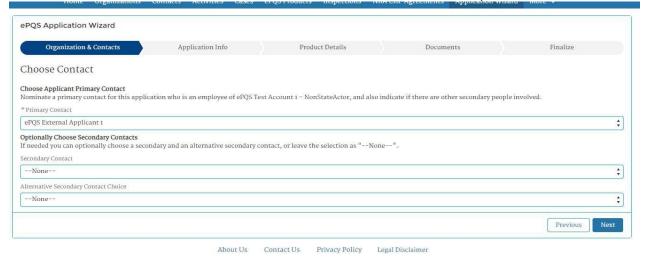
4.2 Wizard Selection: New Application

Please read the welcome note and follow the instructions provided.

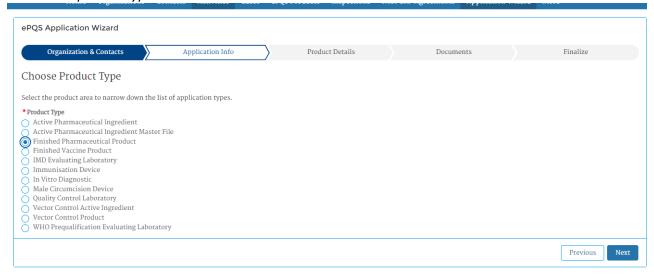
Select the Next button to proceed.



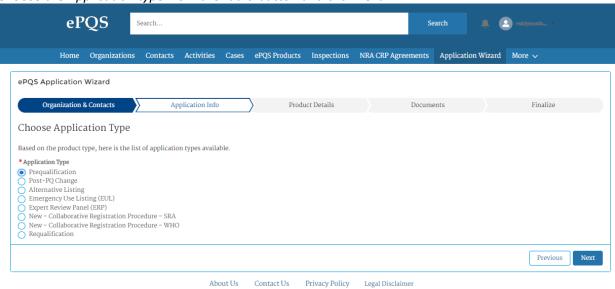
Confirm the Applicant organization if you are a registered user associated with multiple accounts. Otherwise, nominate the contact(s) for the application. The system will offer any contact(s) associated with the account for selection. Note that the contacts do not need to be ePQS portal users.



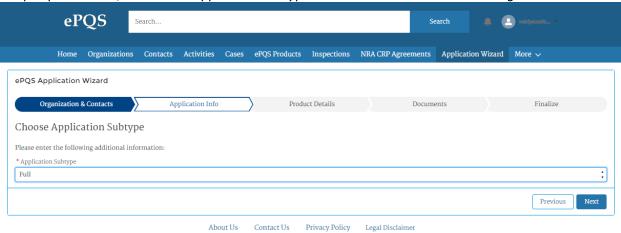
Choose the *product type* from the radio button and click next.



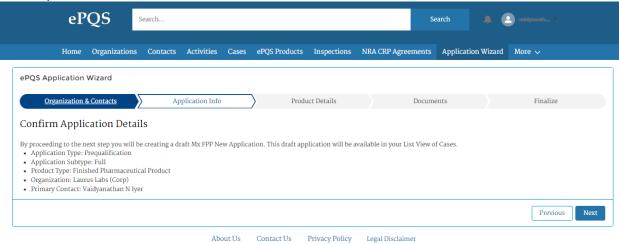
Choose the Application type from the radio button and click next.



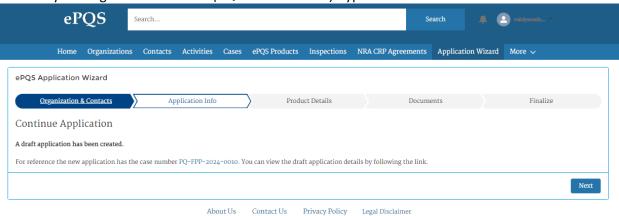
For prequalification, choose the *Application subtype* – either *Full assessment* or *Abridged*.



At this point, you will get a summary of the application details. Please confirm before proceeding to the next step.

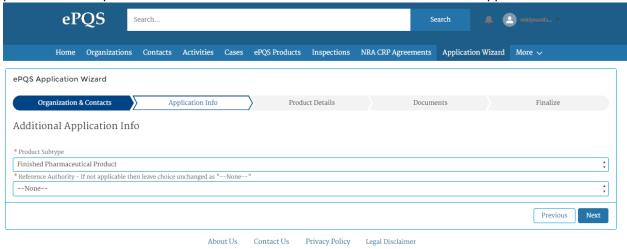


After confirming the application details, a draft application is created. Details of the application can be viewed by clicking the case number (*PQ-FPP-2024-0010*) hyperlink.



For abridged applications, please enter additional application information, specifically the reference authority. Choose the applicable Reference Authority from the dropdown list.

Generally, the full assessment option does not require the reference authority details. However, it is possible to have partial reliance in some instances. Leave it as "None" if it is not applicable.



4.3 eCTD or non-eCTD

The applicant may choose to submit in non-eCTD or eCTD format.



The choice between filing in eCTD (electronic Common Technical Document) format or non-eCTD format impacts the document uploading process at the end of the wizard.

Additionally, this decision influences the format of any subsequent submissions of additional information for a product under evaluation, as well as requalification applications associated with a prequalified product.

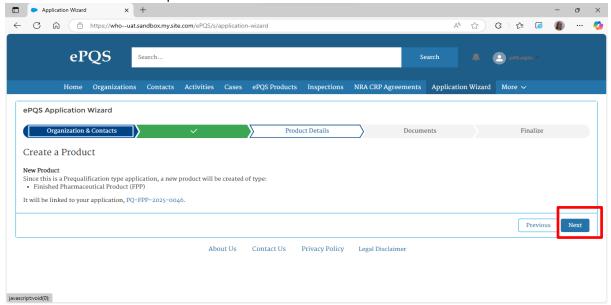
If a new application is submitted in eCTD format, all related submissions, additional information, and requalification applications must also be eCTD compliant, and the same applies if the initial application is made in non-eCTD format.

4.4 Entering application-specific wizard details

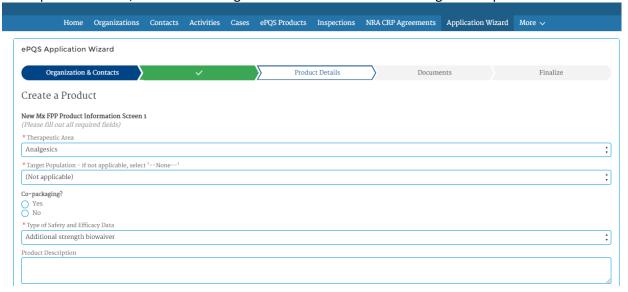
The following steps involve completing FPP product-related information.

4.4.1 Creating a new product.

Click on Next to access the product record.



In the product details, add the following information. Please refer to the guidance provided below.





Therapeutic area*	Select as applicable to your product from the drop-down list
Target population*	Select as applicable from the drop-down list.
	o Paediatrics population- if it is to be used in children
	o Paediatrics suitable- if children can use it but also other age
	groups
	Other- if the above two options are not applicable.
Co-packaging	Select Yes or No as applicable.
	Choose <i>Yes</i> if the product contains more than one product
	packaged together
Type of Safety and Efficacy Data*	Select as applicable
Product Description	Free text, as described in section 2.3.P.1 of the QIS and QOS-PD.
Packaging Description*	Includes the packaging type and configuration,
	e.g., Blister, PVdC/PVC/Alu, 10x5.
	The configuration of 10x5 means 5 blisters, each containing 10
	tablets.
Dosage Form*	Type as applicable e.g., Tablet, Film-coated.
Route of administration*	Select from the options provided.
Shelf Life (months)*	Type as applicable
Storage Condition Requirements*	Type as applicable
Administrative device	Type if applicable. E.g., syringe, applicator, measuring spoon

All sections marked with an asterisk (*) must be completed before you can proceed to the next step.

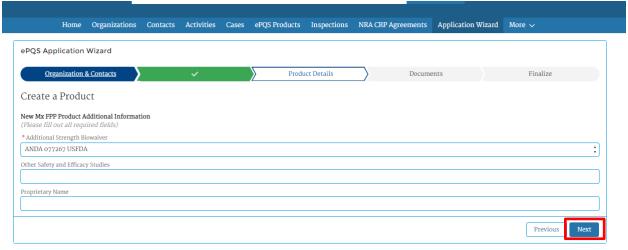
Click *Next* after entering the above information.

4.4.1.1 Choosing a reference product for additional strength biowaiver.

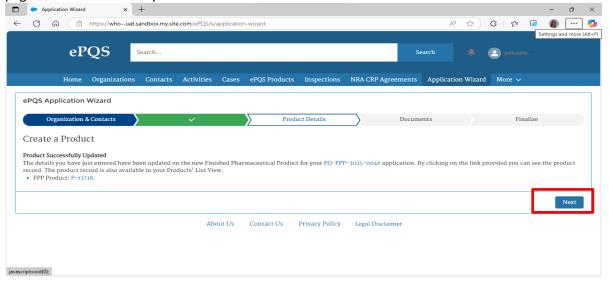
On the next page, you will have the opportunity to either select an already prequalified product or choose a reference product application using BE data, from the drop-down list.

If not in the system, you should first create the product using BE data before creating the additional strength product record.

If applicable, you can enter the proprietary name at this stage. Click *Next* to continue.

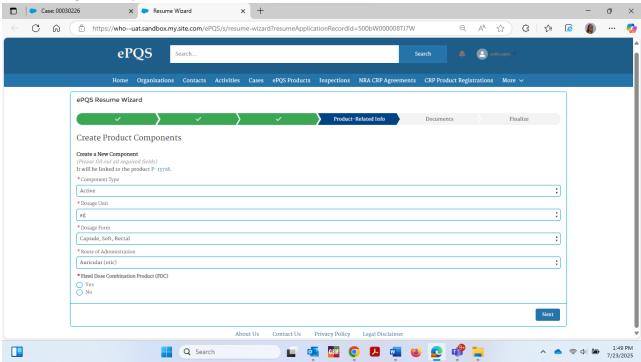


Upon completing the product details page, a product number is generated as P-xxxxx. Proceed to the next page to enter additional product-related information.



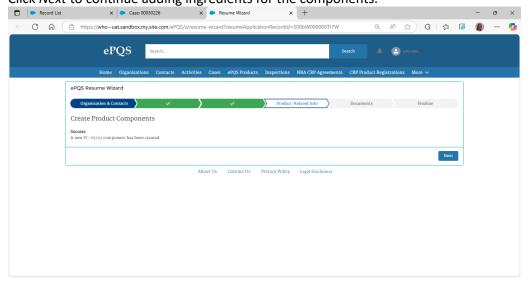
4.4.1.2 Creating product components

The product component refers to the dosage units being submitted. Please click the fields for options and refer to the guidance provided below.



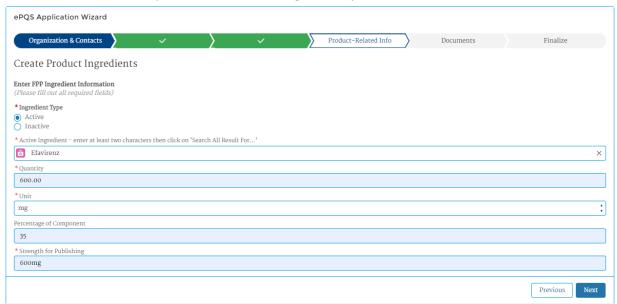
Component type*	Select as applicable to your product from the drop-down list.
	See below in 4.4.1.4 for co-packaged products.
Dosage Unit*	Select as applicable from the drop-down list
Dosage Form*	Select as applicable from the drop-down list
Route of Administration*	Select as applicable from the drop-down list
Fixed Dose Combination Product	Select Yes or No as applicable.
(FDC)*	If Yes, select the applicable number of active medicines from the
	drop-down list.

After all the details are added, a new component record with the reference number **PC-XXXX** is created. Click *Next* to continue adding ingredients for the components.



4.4.1.3 Creating product ingredients

Please click the fields for options and refer to the guidance provided below.



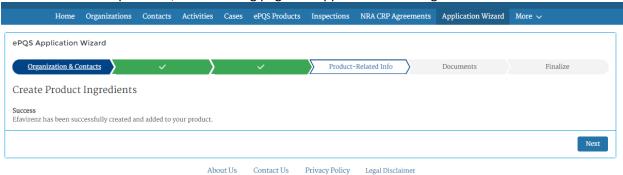
Ingredient Type*	Start with the Active ingredient.
Active Ingredient*	Enter at least two characters in the field and click "Search all results for" then choose the correct API to populate the field.
Quantity*	Type amount of API or excipient per component up to two
Unit*	decimal places, e.g., 600.00. Select as applicable from the drop-down list.
Percentage of Component	Enter the percentage of the ingredient in the formulation.

Strength for Publishing*

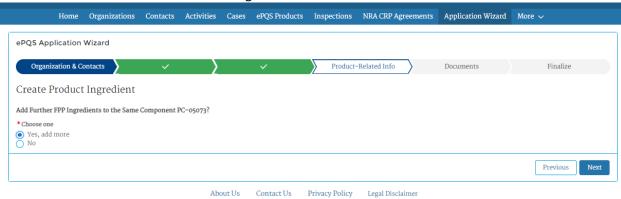
Enter the product strength.

This is how the product strength will appear on the WHO Prequalification website, e.g., 600mg, with no decimal places.

If all the details are provided, the following page will appear after clicking Next.



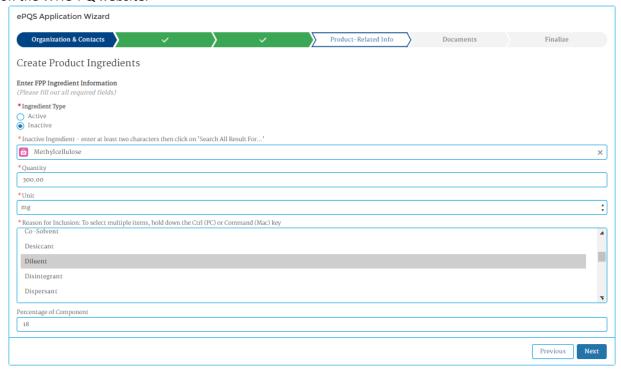
Move to the next window to add more ingredients.



Information for inactive ingredients (excipients)

For inactive ingredients, the process is similar to that for APIs. However, there is an additional field of *Reason for inclusion* where you should select the applicable option(s) from the provided list.

Additionally, there is no requirement to specify the strength for publication, as excipients are not published on the WHO PQ website.



Repeat the process until all the ingredients are added.

Note that there is no coating agent in the excipients list. For coating ingredients, e.g., *Opadry red,* select the ingredient as *Colourant*, and enter the reason for inclusion as *Coating agent*.

When done, choose *No* on the window for "*Add further ingredients to the same component PC-xxxxx*," and move to the next window to create more components if applicable.

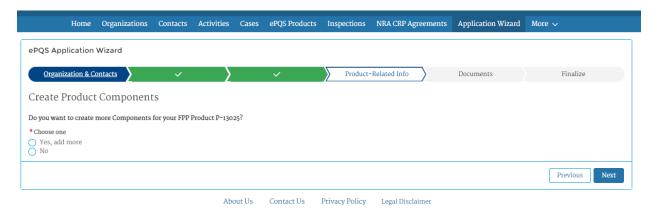
4.4.1.4 Creating additional components

Create additional components if the products are co-packaged (containing more than one dosage unit in a pack). A separate component is required for each product included in the package.

Here are some examples:

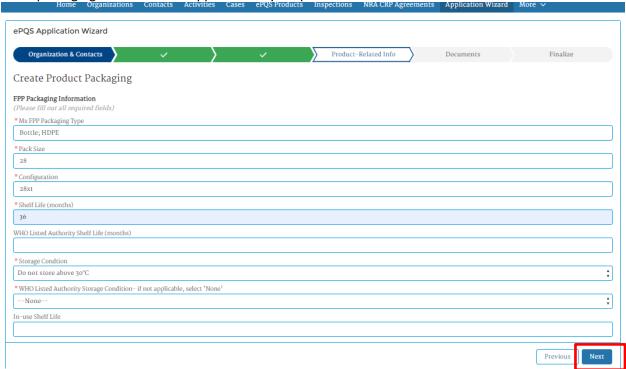
- i. A co-pack containing 2 active products, such as Nirmatrelvir tablets and Ritonavir tablets, requires the creation of a separate component for each product, resulting in a total of **2** components
- ii. A co-pack containing an active product and a placebo as in some hormonal contraceptives, such as Ethinylestradiol/Levonorgestrel Tablet co-packaged with placebo tablet, requires the creation of a separate component for each product, resulting in a total of **2** components.
- iii. A co-pack that includes one active product and two diluents necessitates the creation of a separate component for each, leading to a total of **3** components.

The addition of ingredients for additional components should be repeated as described above in 4.4.1.2.

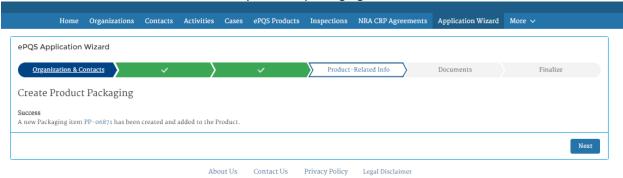


4.4.1.4 Creating product packaging

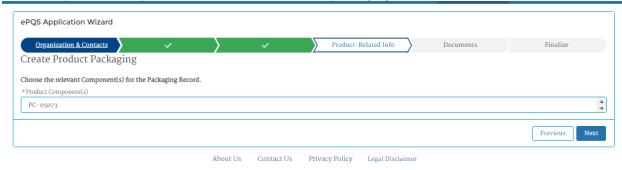
Enter packaging information as applicable to your product and click Next.



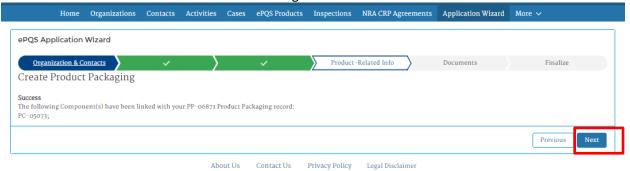
You will receive confirmation of the newly created packaging record with reference number *PP-xxxxx*.



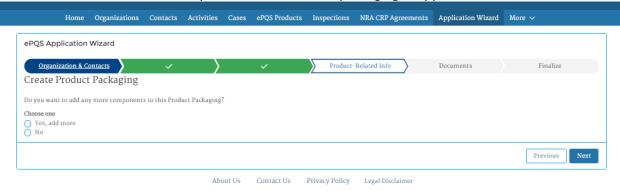
Select the product component(s) that uses the created packaging and click Next.



You will receive a notification for successful linkage and click *Next* to continue.



Select Yes or No to add more components to the created packaging, if applicable. Click Next to continue.



Creating additional packaging records

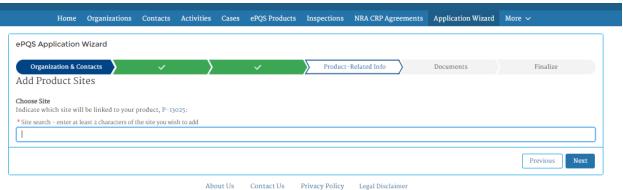
When a product is packaged in more than one type of packaging, such as blisters and bottles, create a record for each pack type.



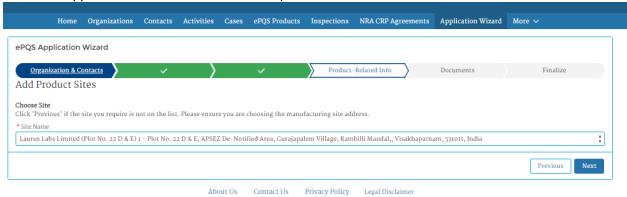
4.4.1.5 Creating product site(s) records

4.4.1.5.1 Adding a FPP manufacturing site(s)

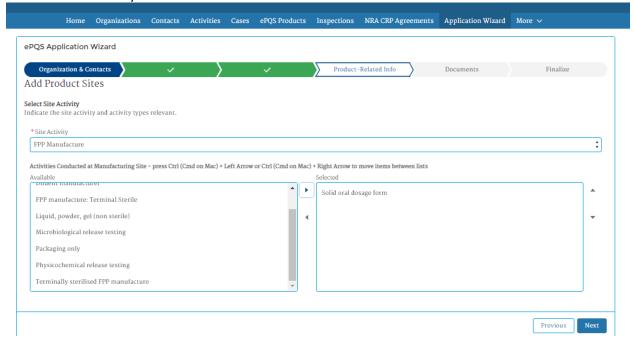
Enter the site name and click next.



Select the applicable site address from the drop-down list and click Next.



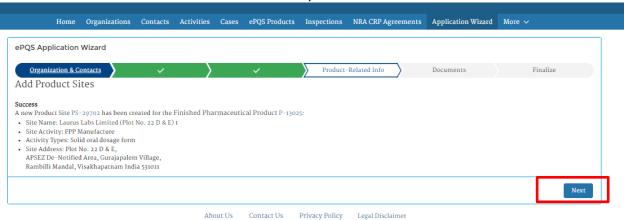
Select the site activity and the activities conducted at this site and click Next.



Review the site information to confirm the entered details, then click Next.



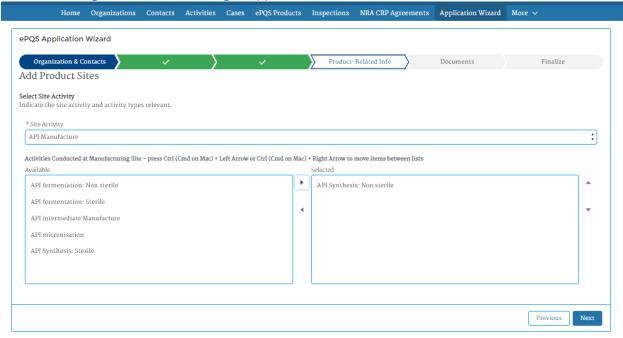
You will receive a notification of successful site entry. Click Next to continue.



Add further information if applicable and click Next to continue.



4.4.1.5.2 Adding an API manufacturing site(s)

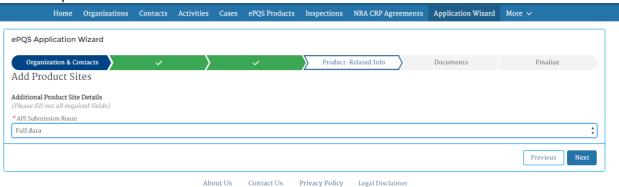


API submission route.

Choose from the options in the drop down list: APIMF, API PQ, CEP and Full data, as applicable.



Full data option



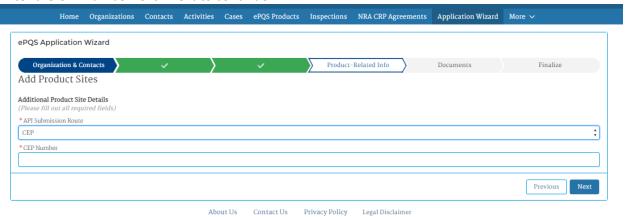
WHO Prequalified API (API PQ)

Select the linked WHO API PQ number in the format WHOAPI-XXX, from the drop-down list. Click Next.

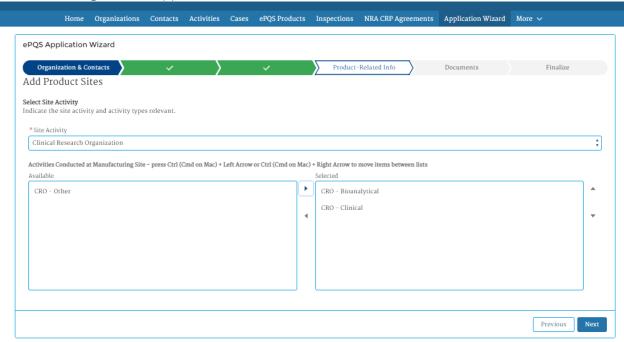


Certificate of Suitability (CEP)

Enter the CEP number. Click Next to continue.

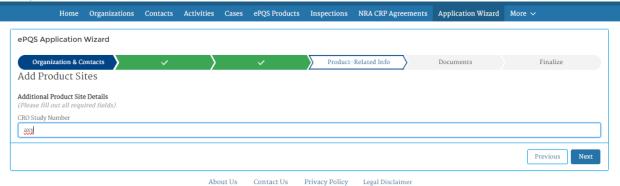


4.4.1.5.3 Adding a CRO site(s)

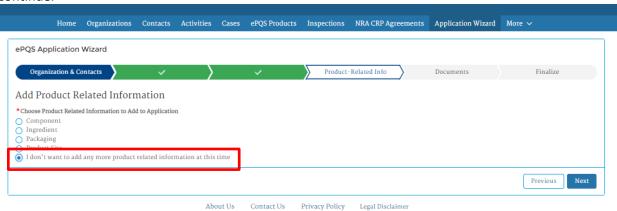


Adding a CRO/BE study number(s).

The CRO study number can be found in the bioequivalence study report and in section 2 of the Bioequivalence Trial Information Form (BTIF).



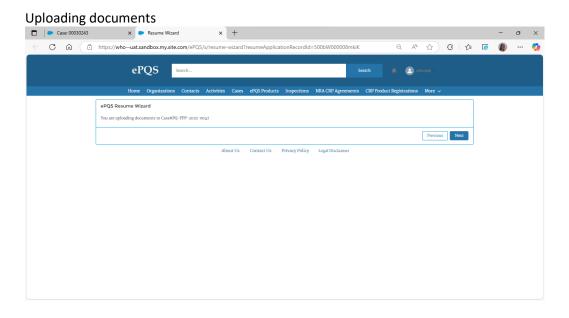
When done, click on 'I don't want to add any more product related information at this time.' Click Next to continue.



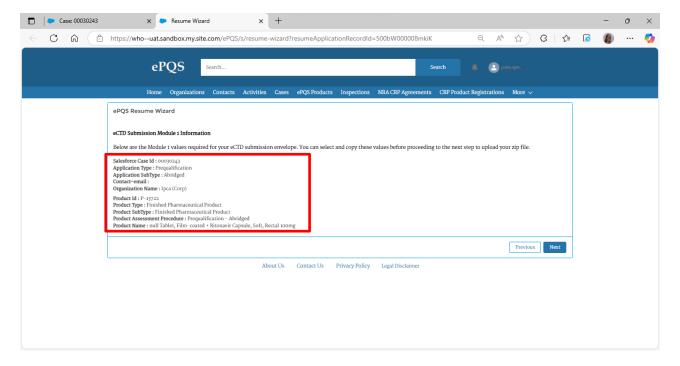
5. Uploading documents.

5.1 eCTD document upload

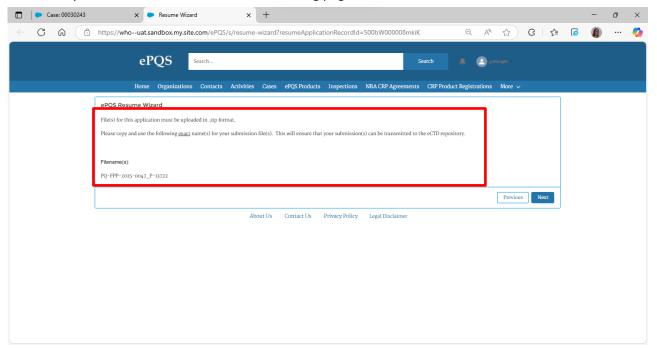
You should upload documents in eCTD format if you have chosen submission by eCTD in Section 4.3.



For eCTD submission, the system will display information that the applicant should use to complete the module 1 envelope for their dossier. Copy the values displayed and click Next.



Carefully review the instructions on the following page and click Next.



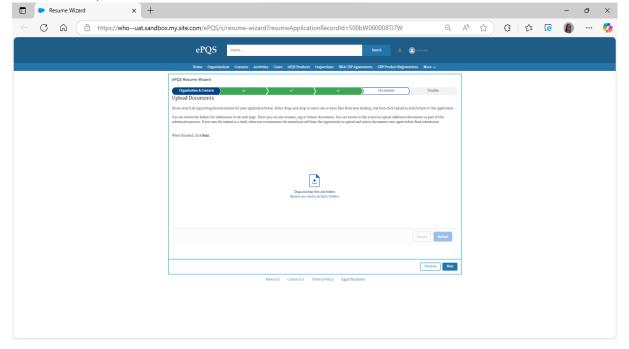
See instructions under section 5.2 below to complete the upload.

5.2 Common document upload

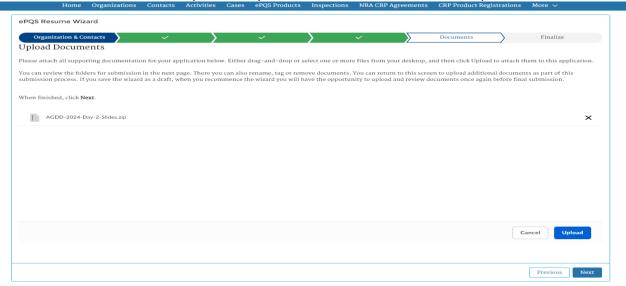
Initial document upload screen.

Upload documents according to your preferred choice.

You can upload single files, multiple files, or folders on the document upload screen. Once you have selected them, click *Next*.

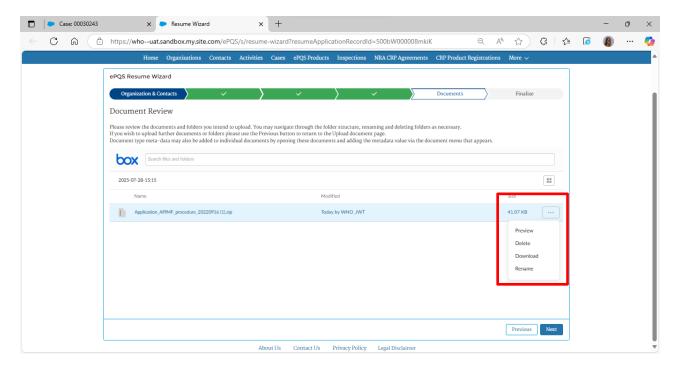


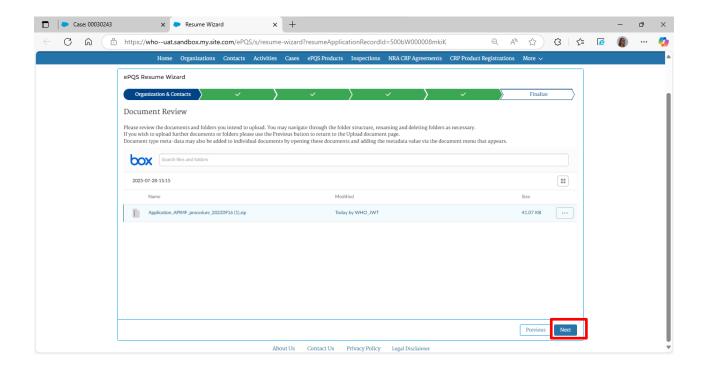
You will be asked to confirm the upload, but you can also cancel and return to the previous screen.



Review the uploaded document(s)

Before submitting, you will be asked to review the files intended to be submitted. You can delete or rename the documents. You can also explore the folder structure that you uploaded to make more detailed changes.

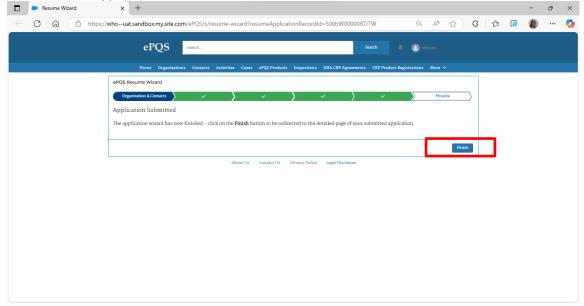




6. Submission

The final screen, before submission, has three options. *Submit, Save* (draft), and *Discard*. If you discard the application, the application and product record will no longer be visible in the application and product lists. However, you can find the information via the global search bar. The ePQS Admin officer will periodically delete these records.

Confirmation of application submission. Click Finish.



Currently, there is a bug that displays *Invalid Page* once *Finish* is selected. This can be ignored. Simply select a new list or refresh the screen.



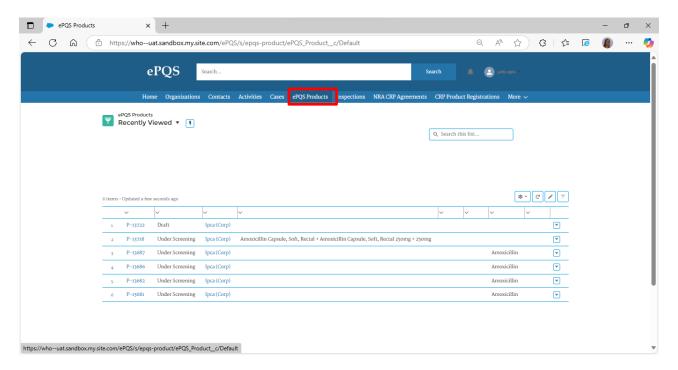
Once the application and product record are submitted,

- The ownership of the record changes to an internal assessment queue, meaning you can no longer edit the record.
- The status of the application changes from *Draft* to *Under screening*.
- The system enters the date of application submission.
- The application and product record are visible in the portal for on-going review.
- The primary contact of the application will receive an email notification.

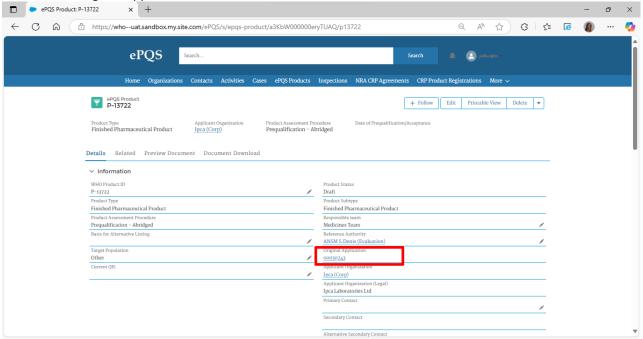
7. Saving as Draft and resuming

You can resume the application wizard several times. You do not have to complete the application in one go. However, please note that if the application remains in *Draft* for over 60 days, a reminder email will be sent to the application's primary contact.

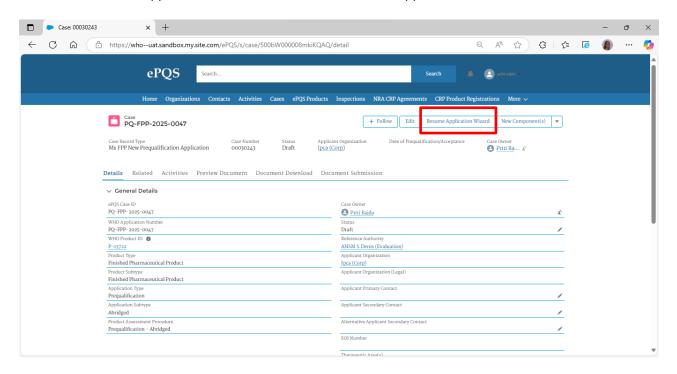
To resume an application, click the **ePQS Products** button and select the application to resume from the displayed list.



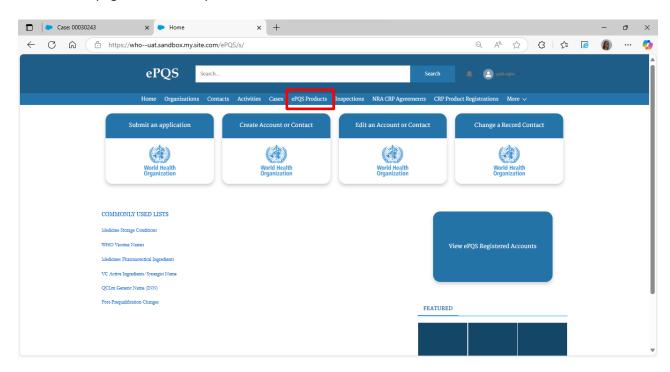
Click on the *Original Application* number to access the case record.



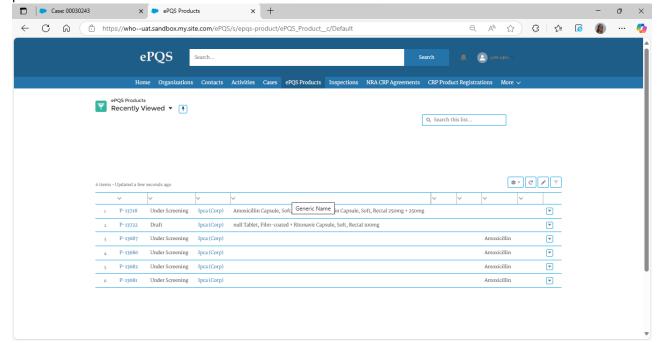
Select the *Resume Application Wizard button* to resume the application wizard. When restarted, the application wizard commences in the next applicable section.



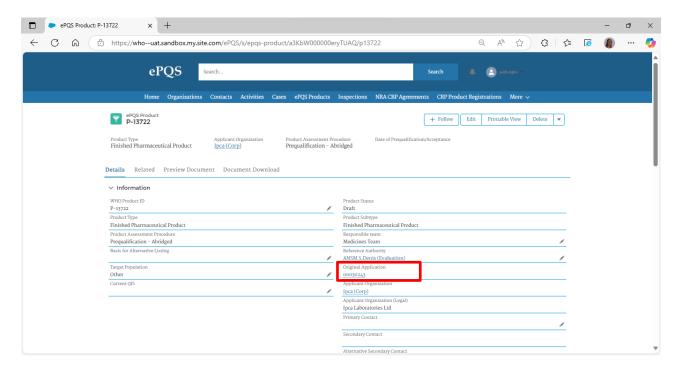
8. Submitting additional information and requalification At the home page, select ePQS products



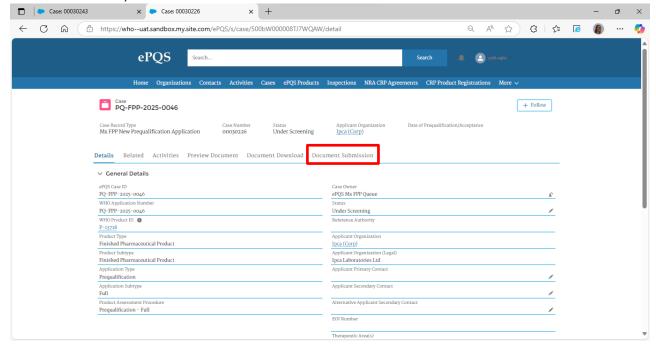
Then choose the application for which additional information or requalification information is to be provided from the list.



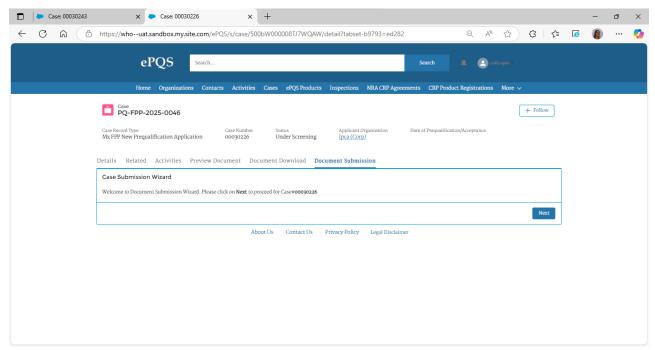
Click on the Original Application number to access the case record.



On the Case record, click on the Document Submission tab.



This will create a short wizard to upload documents. The wizard functions in the same way as the document steps in the initial submission.



The assessment team will be notified of the uploaded documents by email.

9. Record monitoring.

Additional guidance on other features of the portal will be provided in separate documents. In the meantime, you can track the status of your applications, products, and activities by using the various list views available in the menu bar at the top of the portal's landing page.