



# REGULATORY UPDATES

## SESSION 10 – PLENARY: Thursday 30 November 2023

### 08:45 – 13:00

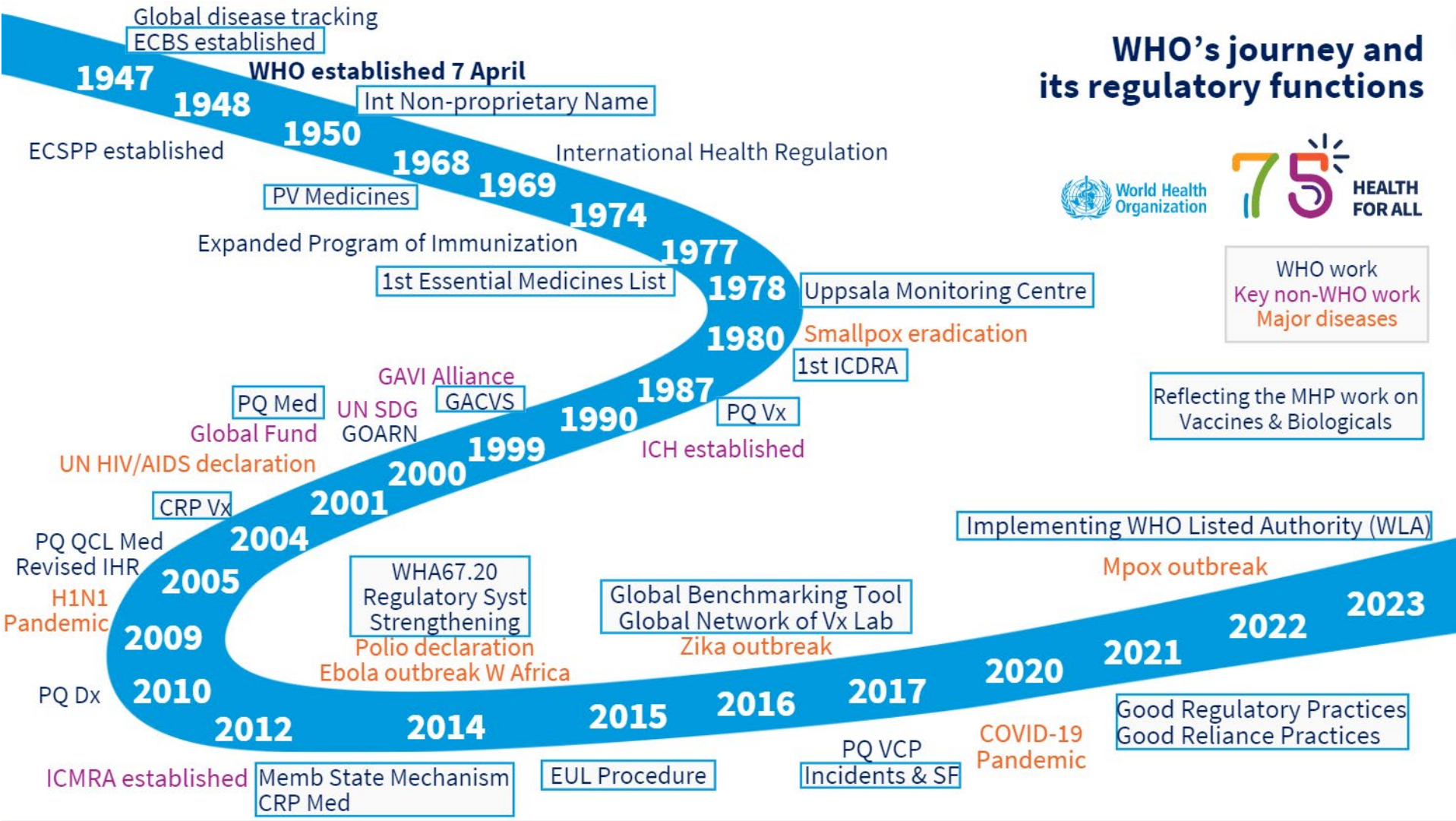
Session Chair: Hiiti Sillo, Unit Head, Regulation and Safety, WHO

# WHO's journey and its regulatory functions



WHO work  
Key non-WHO work  
Major diseases

Reflecting the MHP work on  
Vaccines & Biologicals



# Agenda for this morning

**Session 1: 8:45 to 10:30**

**The new era of WHO Listed Authorities, reliance and facilitated regulatory pathways**

Updates from WHO, industry and regulators view points



**Coffee/tea Break 10:30 – 11:00**

**Session 2: 11:00 to 13:00**

**Setting standards, safety monitoring and market control**

Updates on Safety monitoring, Supply Chain Integrity, Nitrosamine control, and WHO Norms and Standards

# Session 1: The new era of WHO Listed Authorities, reliance and facilitated regulatory pathways – Objectives



Landmark listing of first three countries as WHO-Listed regulatory Authorities



Discuss the latest development and next steps on the WHO Listed Authorities Initiative

Exchange on reliance implementation and facilitated regulatory pathways

Industry and developers perspective

Practical experience of a NRA journey to WLA

# Session 1: The new era of WHO Listed Authorities, reliance and facilitated regulatory pathways – Panelists

- **Dr Alireza Khadem**, WHO Team Lead REG/Regulatory System Strengthening (*virtually*)
- **Ms. Marie Valentin**, WHO Team Lead, REG/Facilitated Product Introduction
- **Ms. Prisha Patel Prisha Patel**, Senior Manager, International Regulatory Science & Policy Global Product Development, Pfizer, UK on behalf of IFPMA
- **Mr Parag Nagarkar**, Head of International Regulatory Affairs, Serum Institute of India will join the panel on behalf of SIIPL/DCVMN (*virtually*)
- **Dr Youngjin Ahn**, Director of Pharmaceutical Policy Division, Ministry of Food and Drug Safety (*virtually*)



Thank you for your attention!



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[Regulation and Prequalification](#)

# THE NEW ERA OF WLA

Alireza Khadem  
WHO/RPQ/REG/RSS

Joint UNICEF, UNFPA and WHO meeting

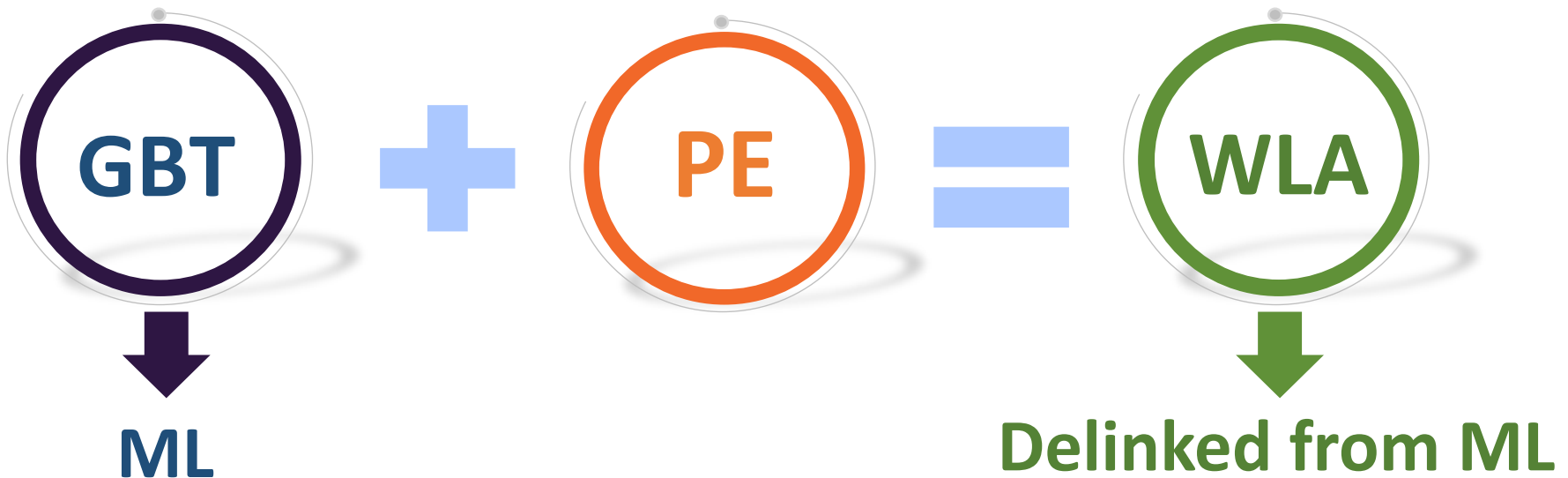


# What is a WHO-listed authority?

*WLA builds upon GBT*

A WHO Listed Authority (WLA) is a regulatory authority or a regional regulatory system which has been documented to comply with all the relevant indicators and requirements specified by WHO for the requested scope of listing based on an

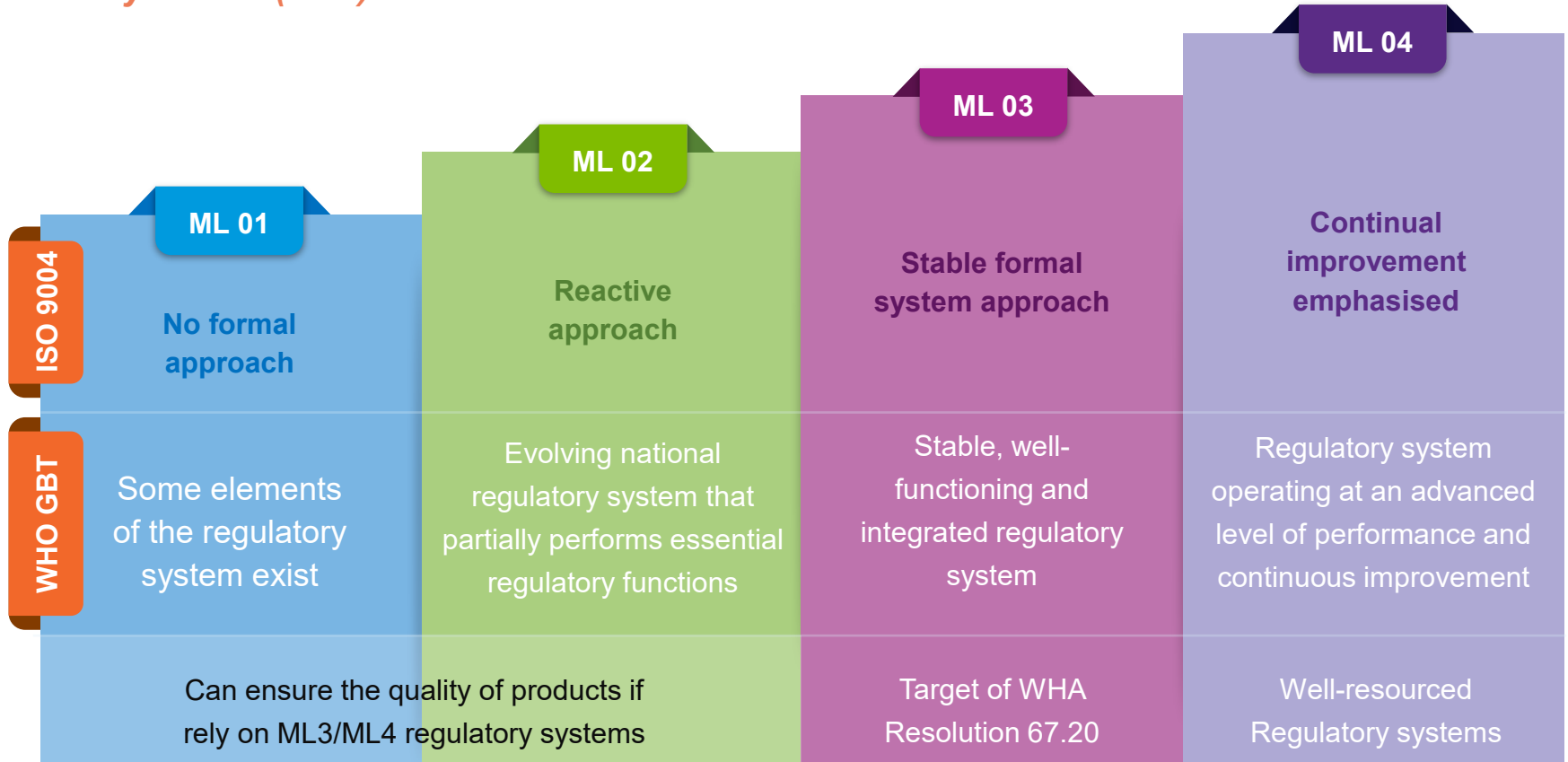
**established benchmarking (GBT) AND a performance evaluation (PE) process**





# The Global Benchmarking Tool

## Maturity Levels (MLs)

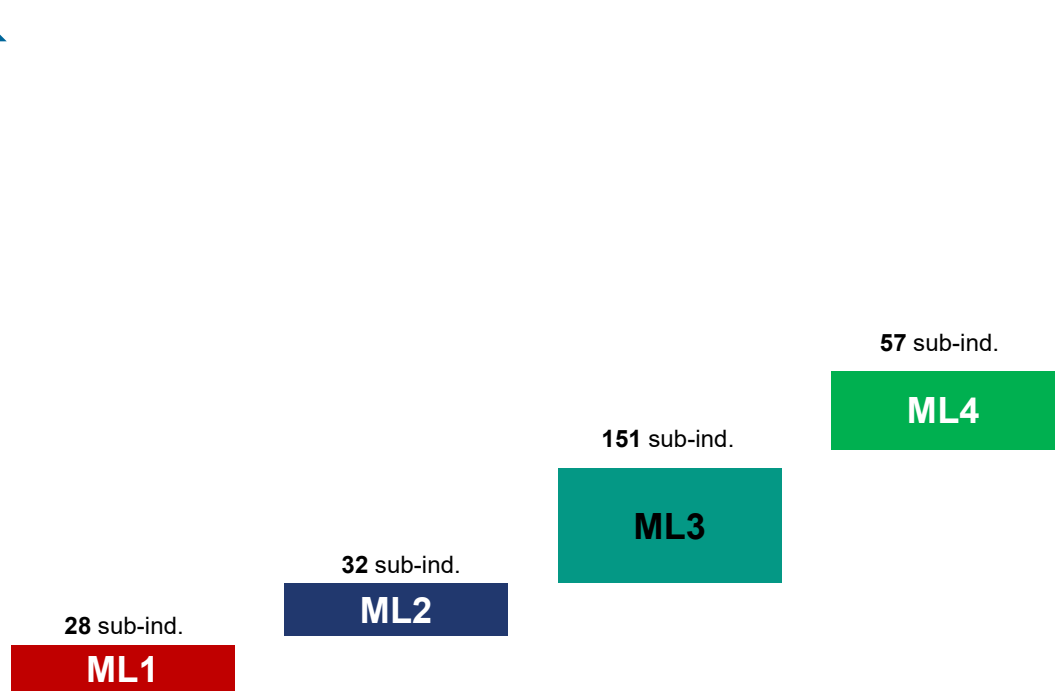


# What's being measured?

## GBT-ML

## WLA

Performance ↑



WLA Indicators and tools

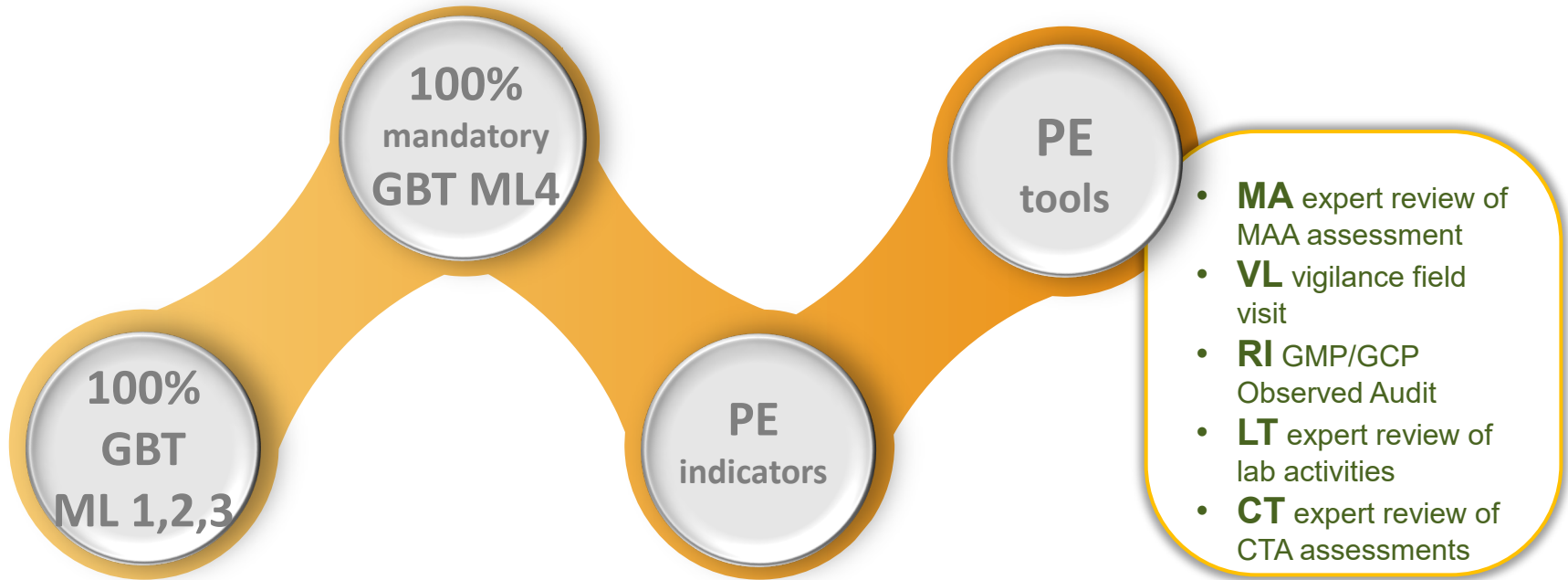
How well a regulatory system is configured?

How well a regulatory system operates?



# Components of the PE process towards WLA

## Standard stepwise approach



If any of these 4 components fails, WHO listing is not achieved



# Key considerations

*ML3/4 does not automatically lead to listing*

## GBT-ML

Represents primary means by which the WHO objectively evaluates regulatory systems and measures their

### Maturity Level

GBT benchmarking process incorporates **some elements** of performance measurement

Designed to provide a structured approach to analyzing the **inputs, regulatory processes** and intended **outputs** that together determine

**how well a regulatory system is configured**

Verify **establishment, appropriateness and implementation** of Regulations, Processes, Procedures, Plans, etc.

## WLA

Nature and extent of evaluation to provide a high degree of confidence in an **authority's performance** (e.g., **quality of reports, scientifically sound regulatory decisions**, etc.)

**Documented consistency** in adherence to international regulatory requirements and best practices, procedures and in producing **outputs, outcomes reaching a more efficient regulatory system**

**Expansion of performance measurement** to provide a more detailed picture of **how well a regulatory system operates**

Measure **performance and impact** of Regulations, Processes, Procedures, Plans, etc.

# WLA operating principles

## ELIGIBILITY

**01**

Voluntary process initiated by a request from a member state



**02**

National Regulatory Authority (NRAs) or Regional Regulatory System (RRS) are eligible



**03**

Regulatory Authorities must have attained overall Maturity Level 3 to be eligible for the PE process

**3**

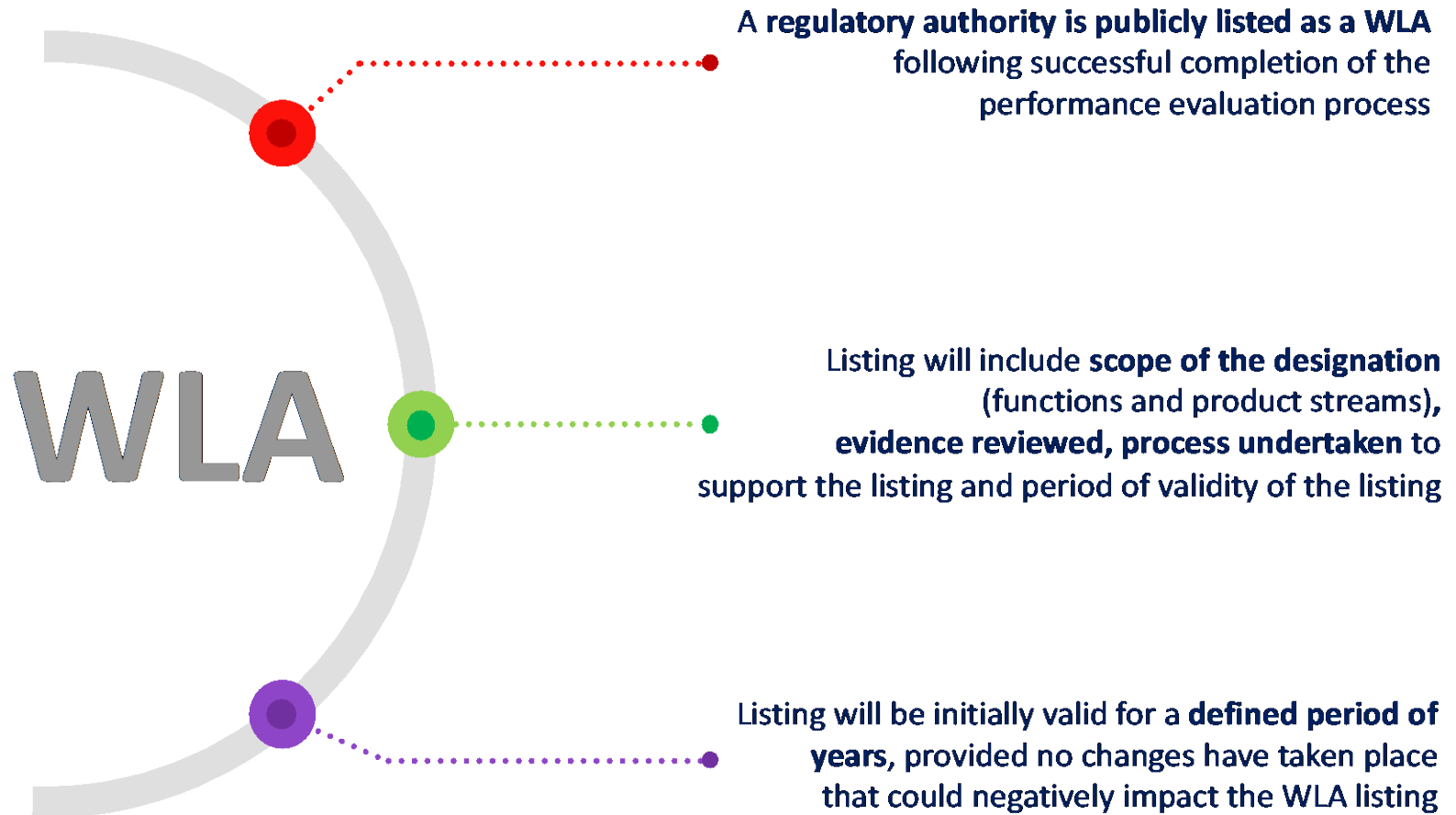
**04**

Transitional WLAs are all eligible for the PE process



# Operating principles

## LISTING



# What is a transitional WLA (tWLA)?

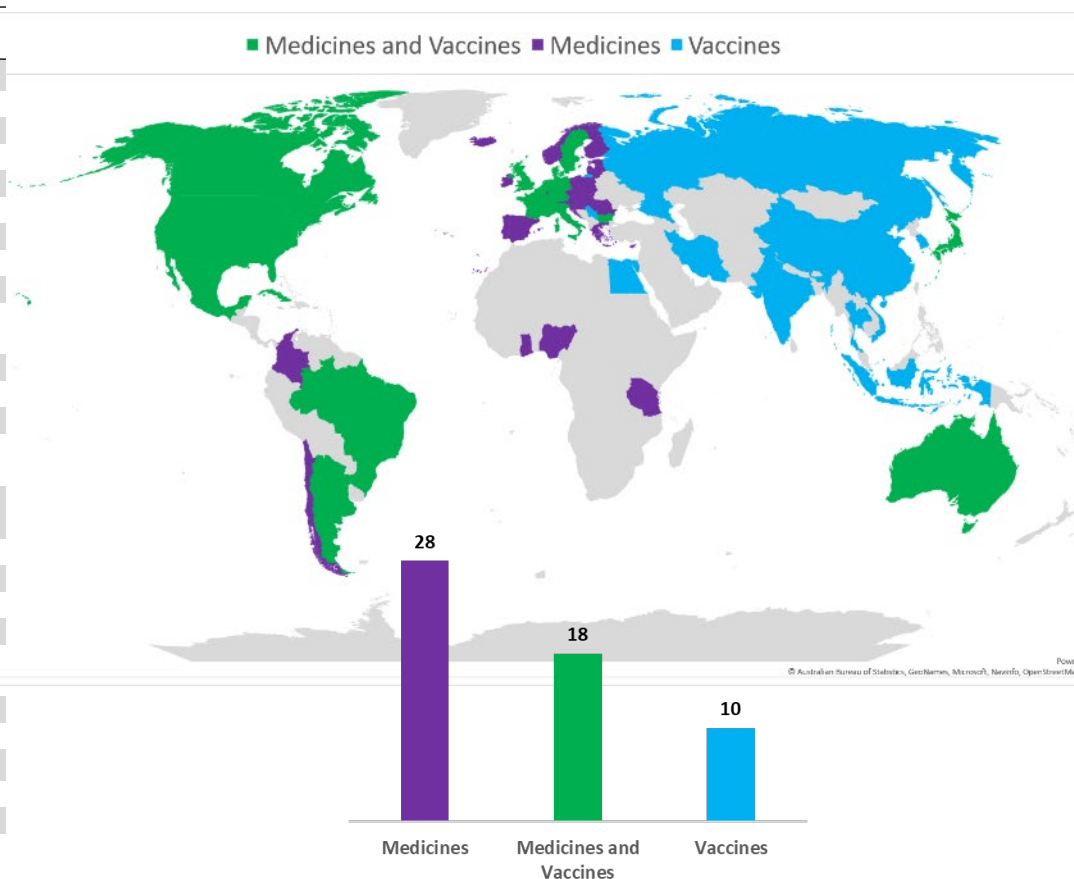


<https://www.who.int/publications/m/item/list-of-transitional-wlas>



# List of transitional WLAs as of March 2022

Country	Scope of tWLA designation
1. Argentina	Medicines Vaccines
2. Australia	Medicines Vaccines
3. Austria	Medicines
4. Belgium	Medicines Vaccines
5. Brazil	Medicines Vaccines
6. Bulgaria	Medicines Vaccines
7. Canada	Medicines Vaccines
8. Chile	Medicines
9. China	Vaccines
10. Colombia	Medicines
11. Croatia	Medicines
12. Cyprus	Medicines
13. Cuba	Medicines Vaccines
14. Czech Republic	Medicines
15. Denmark	Medicines Vaccines
16. Egypt	Vaccines
17. Estonia	Medicines
18. Finland	Medicines
19. France	Medicines Vaccines
20. Germany	Medicines Vaccines
21. Ghana	Medicines
22. Greece	Medicines
23. Hungary	Medicines
24. Iceland	Medicines
25. India	Vaccines
26. Indonesia	Vaccines
27. Iran (Islamic Republic of)	Vaccines
28. Ireland	Medicines



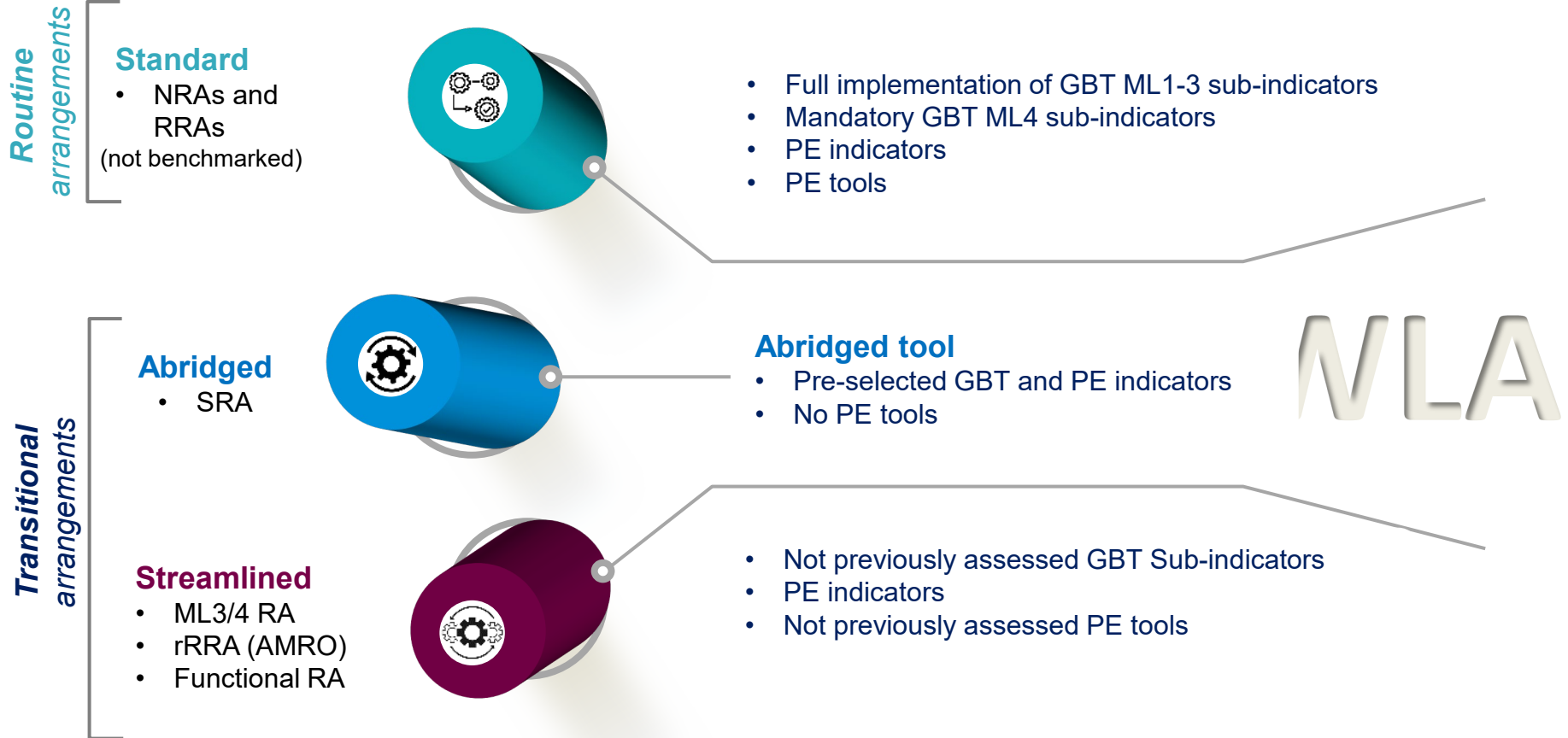
Country	Scope of tWLA designation
29. Italy	Medicines Vaccines
30. Japan	Medicines Vaccines
31. Latvia	Medicines
32. Liechtenstein	Medicines
33. Lithuania	Medicines
34. Luxembourg	Medicines
35. Malta	Medicines
36. Mexico	Medicines Vaccines
37. Netherlands	Medicines Vaccines
38. Nigeria	Medicines
39. Norway	Medicines
40. Poland	Medicines
41. Portugal	Medicines
42. Republic of Korea	Vaccines
43. Romania	Medicines
44. Russian Federation	Vaccines
45. Serbia	Vaccines
46. Singapore	Medicines
47. Slovakia	Medicines
48. Slovenia	Medicines
49. Spain	Medicines
50. Sweden	Medicines Vaccines
51. Switzerland	Medicines Vaccines
52. Thailand	Vaccines
53. United Kingdom of Great Britain and Northern Ireland	Medicines Vaccines
54. United Republic of Tanzania	Medicines
55. United States of America	Medicines Vaccines
56. Viet Nam	Vaccines

The boundaries and names shown and the designations used on this map do not imply the expression of any opinion whatsoever on the part of the World Health Organization (WHO) concerning the legal status of any country, territory, city or area of its authorities, or concerning the delimitation of its frontiers or boundaries. Dotted lines on map represent approximate border lines for which there may not yet be full agreement.

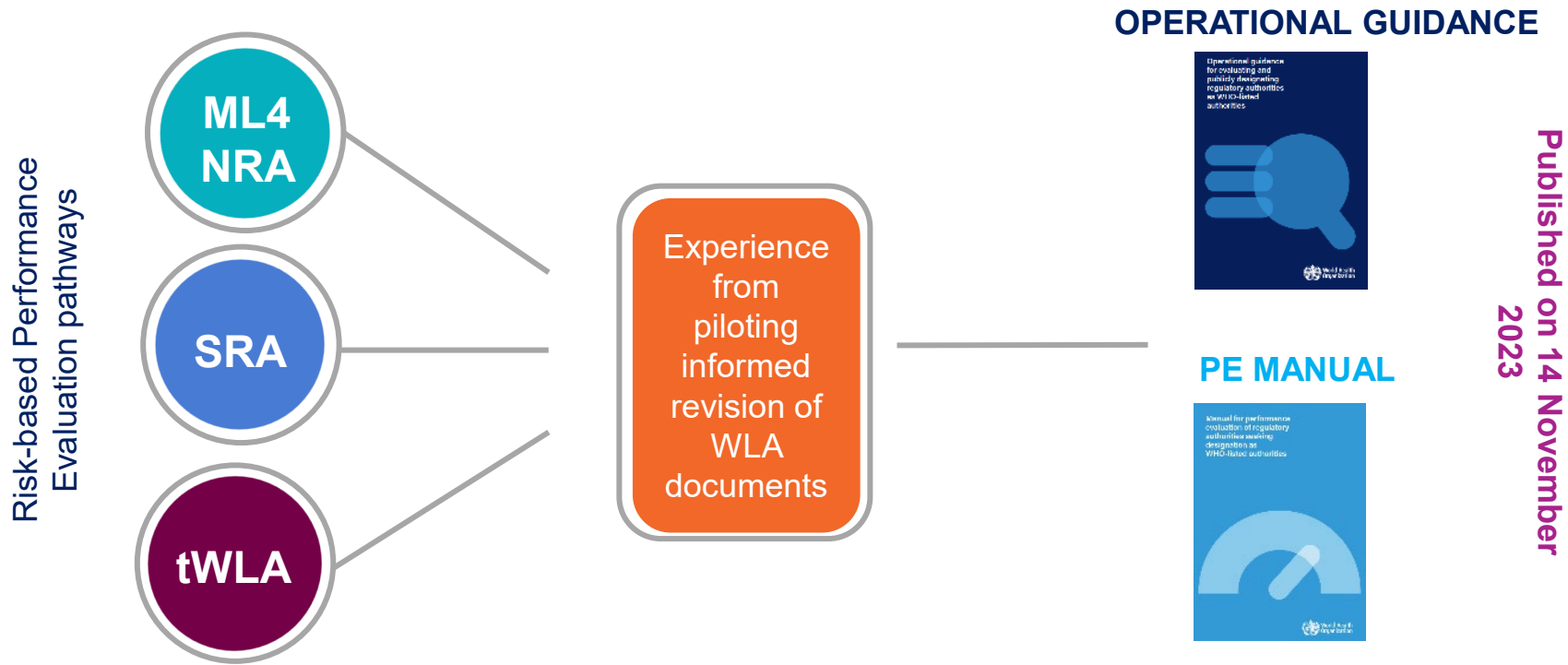




# Risk-based performance evaluation pathways

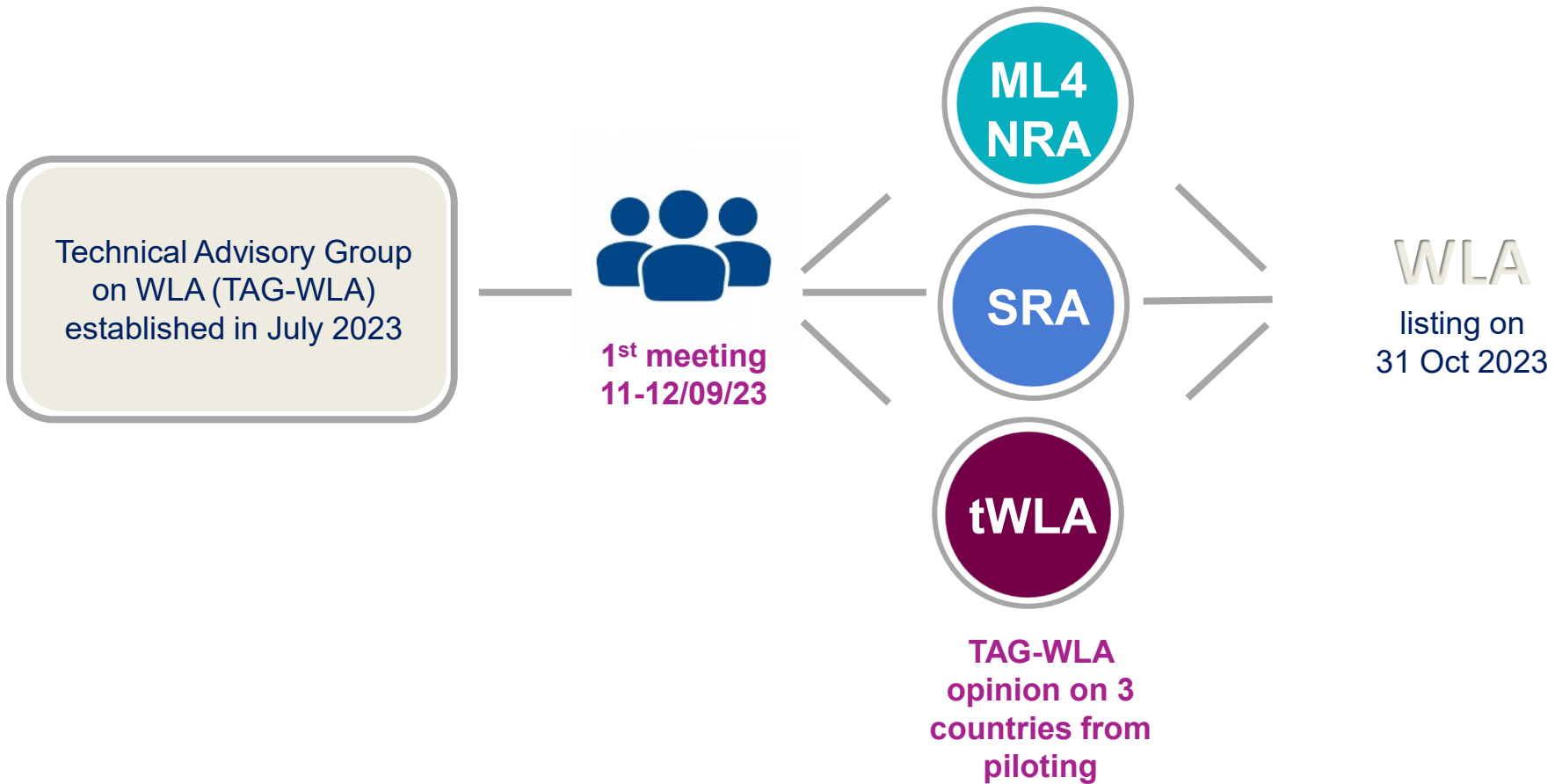


# Performance evaluation pathways piloted in 3 countries



<https://www.who.int/initiatives/who-listed-authority-reg-authorities>

# 1<sup>st</sup> TAG-WLA meeting



# First three WLAs

31st October 2023

**SRA**




**Switzerland**  
**Swissmedic**  
Scope: Medicin and vaccine

**tWLA**

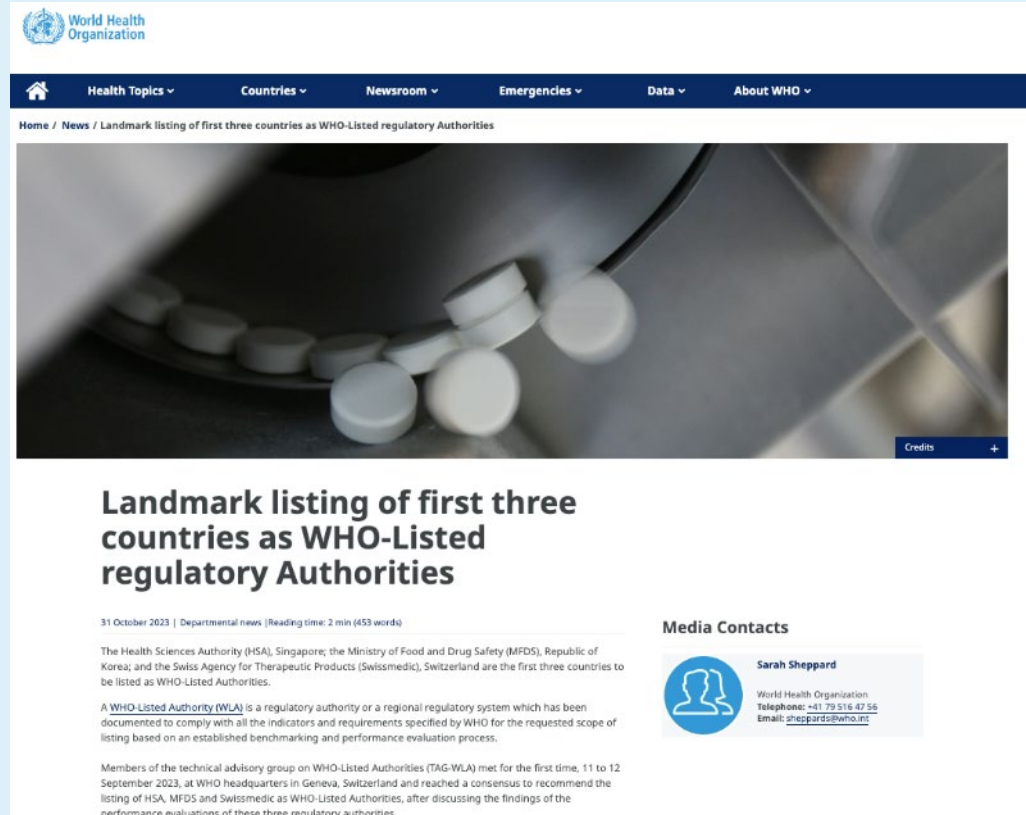


**Republic of Korea**  
**MFDS**  
Scope: Medicine and vaccine

**ML4 NRA**



**Singapore**  
**HSA**  
Scope: Medicine



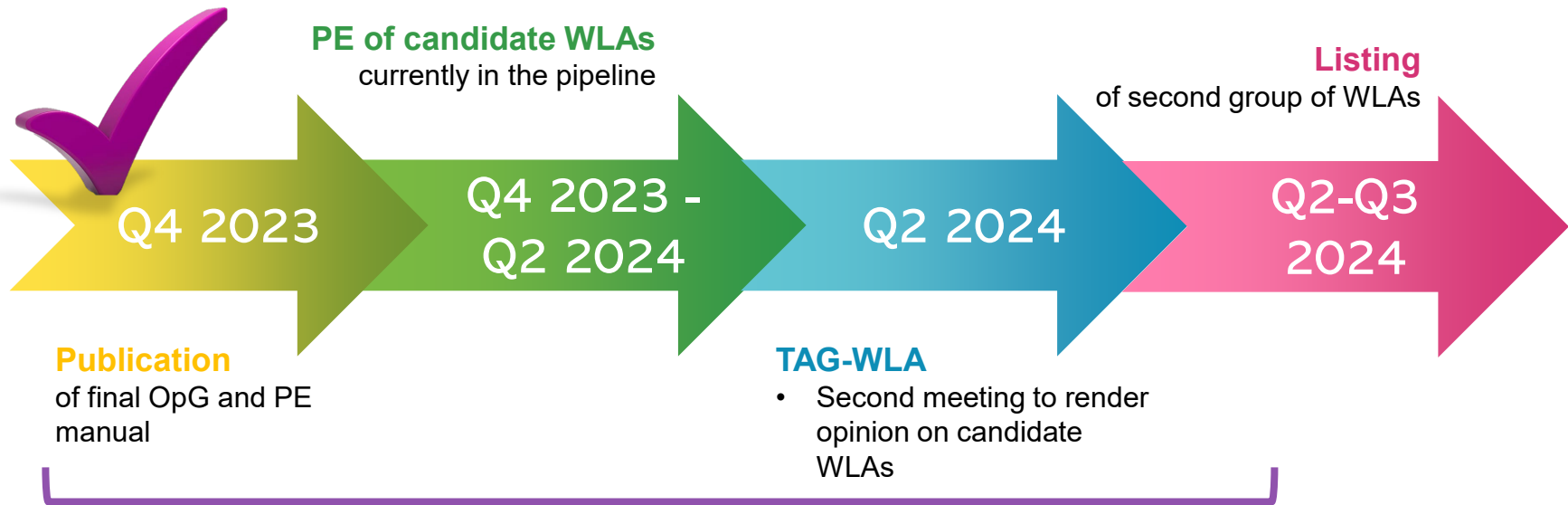
The screenshot shows a WHO news article titled "Landmark listing of first three countries as WHO-Listed regulatory Authorities". The article is dated 31 October 2023 and is a departmental news item. The text states that the Health Sciences Authority (HSA) of Singapore, the Ministry of Food and Drug Safety (MFDS) of the Republic of Korea, and the Swiss Agency for Therapeutic Products (Swissmedic) of Switzerland are the first three countries to be listed as WHO-Listed Authorities. It also mentions that a technical advisory group met on 11-12 September 2023 to discuss the findings and reach a consensus on recommending the listing of HSA, MFDS, and Swissmedic.

<https://www.who.int/news/item/31-10-2023-landmark-listing-of-first-three-countries-as-who-listed-regulatory-authorities>

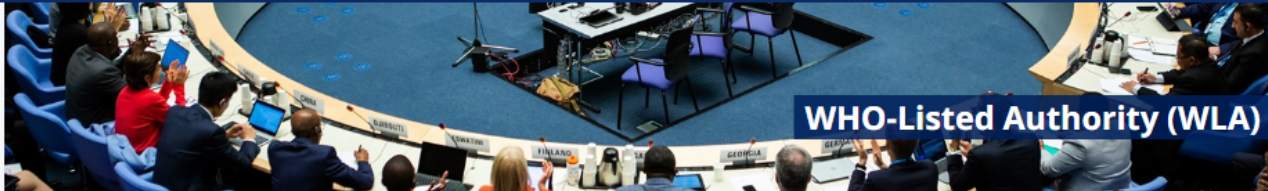
The ultimate responsibility and decision for use of tWLA and WLA lists resides with the users (e.g., regulatory authorities, and procurement agencies) and will depend on the specific context of its intended use



# WLA next steps in 2023-2024



**Computerization**  
of PE indicators/tools



## A Framework for evaluating and publicly designating regulatory authorities as WHO Listed Authorities (WLA)

The introduction of a framework for designating and publicly listing a regulatory authority as a WHO Listed Authority (WLA) responds to Member States requests to develop a transparent and evidence based pathway for regulatory authorities operating at an advanced level of performance to be globally recognized, thereby replacing the procurement oriented concept of stringent regulatory authorities.

Implementation of the WLA framework is intended to promote access and supply of safe, effective and quality medical products. The framework also provides for the optimal use of limited resources by facilitating reliance on the work products and decisions of trusted agencies in the decision making of regulatory authorities, the WHO Prequalification Programme and procurement agencies.

The WLA initiative is also expected to foster regulatory convergence, harmonization of approaches and international cooperation, thus contributing to the improvement in good regulatory practices.



30 October 2023  
**List of National Regulatory Authorities (NRAs) operating at maturity level 3 (ML3) and maturity level 4 (ML4)**

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30 October 2023  
**List of WHO Listed Authorities WLAs**

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27 October 2023  
**List of transitional WLAs**

The WLA framework consists of the following components:



- **Policy** on evaluating and publicly designating regulatory authorities as WHO listed authorities
- **Operational Guidance** for evaluating and publicly designating regulatory authorities as WHO listed authorities
- **Operational Guidance** for regulatory authorities seeking the designation as WHO listed authorities
- **Global Benchmarking Tool (GBT)** and **Manual** for benchmarking of the national regulatory system of medical products and formulation of institutional development plans.

14 November 2023

**Operational guidance for evaluating and publicly designating regulatory authorities as WHO-listed...**

[Download](#) [Read More](#)

14 November 2023

**Manual for the performance evaluation of regulatory authorities seeking the designation as WHO-listed...**

[Download](#) [Read More](#)

The GBT remains the foundation for classifying regulatory systems according to maturity level, providing a structured approach to assessing how well a regulatory system is configured to achieve desired results. The WLA performance evaluation Framework provides a more detailed picture of how a regulatory system operates through an extended set of measurements targeting key regulatory outputs and consistent adherence to international standards and **good regulatory practices**.

As set out in the Policy, regulatory authorities that have attained an overall maturity level 3 classification are eligible for consideration as a WLA. In addition, following public consultation on the draft WLA Operational Guidance and discussions with Member States, transitional arrangements were developed that afford all regulatory authorities on the public WHO Interim list of National Regulatory Authorities the opportunity to be considered for WLA evaluation and listing as reflected by their placement on a list of transitional WLAs (tWLAs).

The tWLA list replaces the WHO Interim list, which compiled categories of authorities recognized by WHO to have achieved levels of operation necessary for the regulation of medicines and/or vaccines. The tWLA list is valid for five years from the date of publication of the interim WLA Operational Guidance (31 March 2022) during which time the authorities will be evaluated against the requirements for designation as a WLA. A regulatory authority will move from the tWLA list to the permanent WLA list upon successful completion of the WLA evaluation process.

To ensure impartiality and transparency of the WLA decision making process, the WHO Technical Advisory Group on WHO Listed Authorities (TAG WLA) has been established. The TAG WLA acts as an advisory body to WHO, by rendering an opinion on the listing/delisting of regulatory authorities as result of the WLA evaluation process.

WHO will adopt a pragmatic and risk based approach to evaluating performance that considers existing information and experience to ensure optimal use of resources and the efficiency of the process.

Links to the documents relevant to the WHO initiative for designation of WLAs are available on this page.

WHO will also be publishing additional documents and information related to the implementation of the WLA framework.

### Technical Advisory Group

#### Technical Advisory Group on WHO Listed Authorities (TAG-WLA)

The Technical Advisory Group on WHO Listed Authorities (TAG-WLA) provides an independent, strategic, and technical advice to WHO in the process of designating regulatory authorities as WHO listed authorities.

### Technical unit

#### Regulatory system strengthening



<https://www.who.int/initiatives/who-listed-authority-reg-authorities>



# Thank you

Regulatory Systems Strengthening [RSS] Team [LINK](#)

Regulation and Safety [REG] Unit

Regulation and Prequalification [RPQ] Department

Access to Medicines and Health Products [MHP] Division

World Health Organization (Geneva, Switzerland)



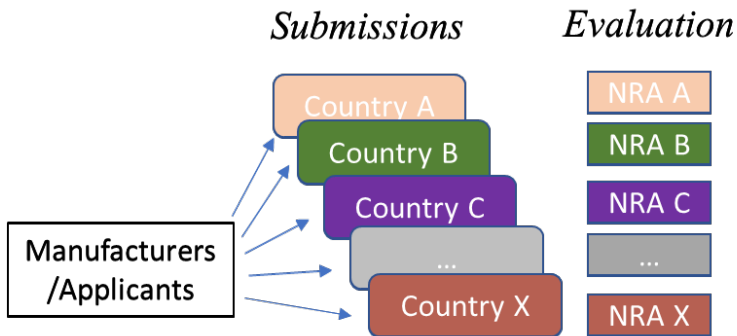


# Reliance and Facilitated Regulatory Pathways including WHO Collaborative Registration Procedure


Marie Valentin, Team Lead, Facilitated Product Introduction, WHO



# Reliance for a more efficient use of global resources



**SOLUTIONS**



Work-sharing,  
Joint assessment,  
Abridged pathways,  
Strengthened  
collaboration,  
etc.

## *Implementation of reliance*

- Voluntary participation
- Change mindset
- Start small, learning by doing
- Harmonisation facilitator but not pre-requisite

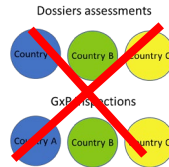
*Are we using the global regulatory resources as best as we can?*

# Reliance main principles and examples

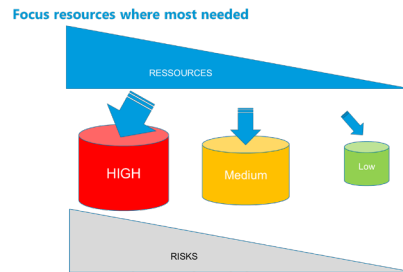
## Examples

### Principles

Reduce duplication



Avoid duplication where possible



Risk-based approach



Reference Authorities

**WHO Listed Authorities**  
A transparent & evidence-based system to identify trusted authorities



Abridged and verification routes with shortened times for quality and product labels PACs from HSA Singapore

[https://www.hsa.gov.sg/docs/default-source/hprg-tpb/guidances/guidance-on-therapeutic-product-registration-in-singapore\\_aug22.pdf](https://www.hsa.gov.sg/docs/default-source/hprg-tpb/guidances/guidance-on-therapeutic-product-registration-in-singapore_aug22.pdf)

Regulatory Pharmaceutical Quality Knowledge Management System (PQ KMS) to Enhance the Availability of Quality Medicines

<https://www.icmra.info/drupal/en/strategicinitatives/pqkms>  
[https://www.icmra.info/drupal/strategicinitatives/pqkms/joint\\_reflection\\_paper](https://www.icmra.info/drupal/strategicinitatives/pqkms/joint_reflection_paper)

Many pilots for post-authorization changes

PAC: Proposed update to the Guidelines on procedures and data requirements for changes to approved vaccines, Annex 4, TRS No 993

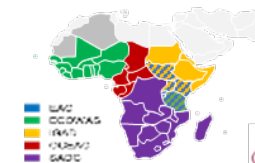
# Reliance is “implanted” in facilitated regulatory pathways



- **Vaccines:** 2004
- **Medicines:** Started in 2012
- **FDA-WHO joint pilot to accelerate access to HIV medicines (CRP-lite)**
- **Diagnostics:** Pilot 2019
- **Vector control:** Pilot 2024

- Initiated in 2015
- **7 SRAs:** European Medicines Agency, MHRA UK, Dutch MEB, Swissmedic, TGA Australia, Finish Medicines Agency (FIMEA), Swedish Medical Products Agency of Sweden (MPA)

**African Medicines Regulatory Harmonization Initiative (AMRH)**



**ASEAN SIAHR Project**



SRA: Stringent Regulatory Authorities

# WHO Collaborative Registration Procedure - Overview

Same principles for life cycle

Promote informed reliance

Provision of assessment and inspection reports from WHO prequalification or Stringent Regulatory Authorities (SRA)

Aim is to facilitate in-country decision  
Sovereignty maintained



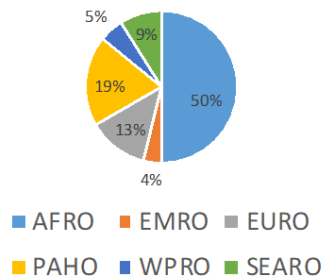
Product sameness  
Quality Information Summary (QIS) validated by WHO/SRA



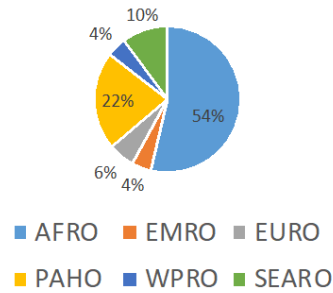
90 days

# WHO Collaborative Registration Procedure – Countries

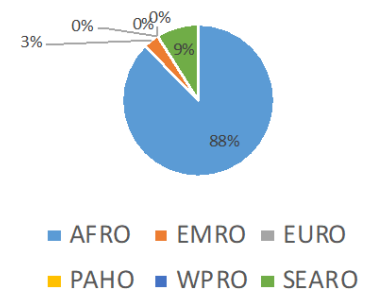
**PQ CRP agreements (medicines and vaccines)**



**SRA CRP agreements (medicines and vaccines)**



**PQ CRP agreements (IVD)**



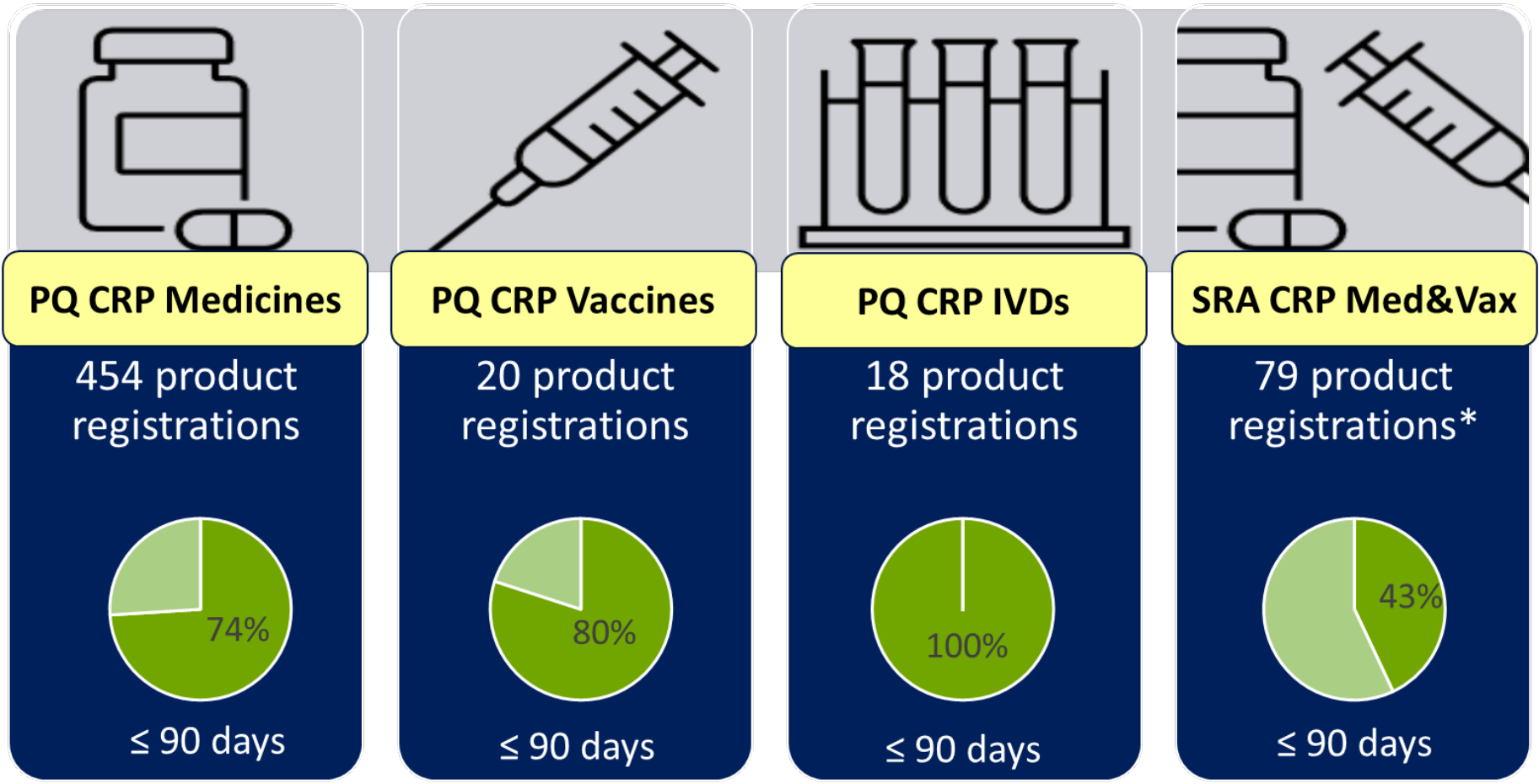
78 countries

69 countries

31 countries

Data as per October 2023

# WHO Collaborative Registration Procedure – Registrations (2018 -2022)



\*78% within 250 days

Analysis as per RPQ impact assessment March 2023

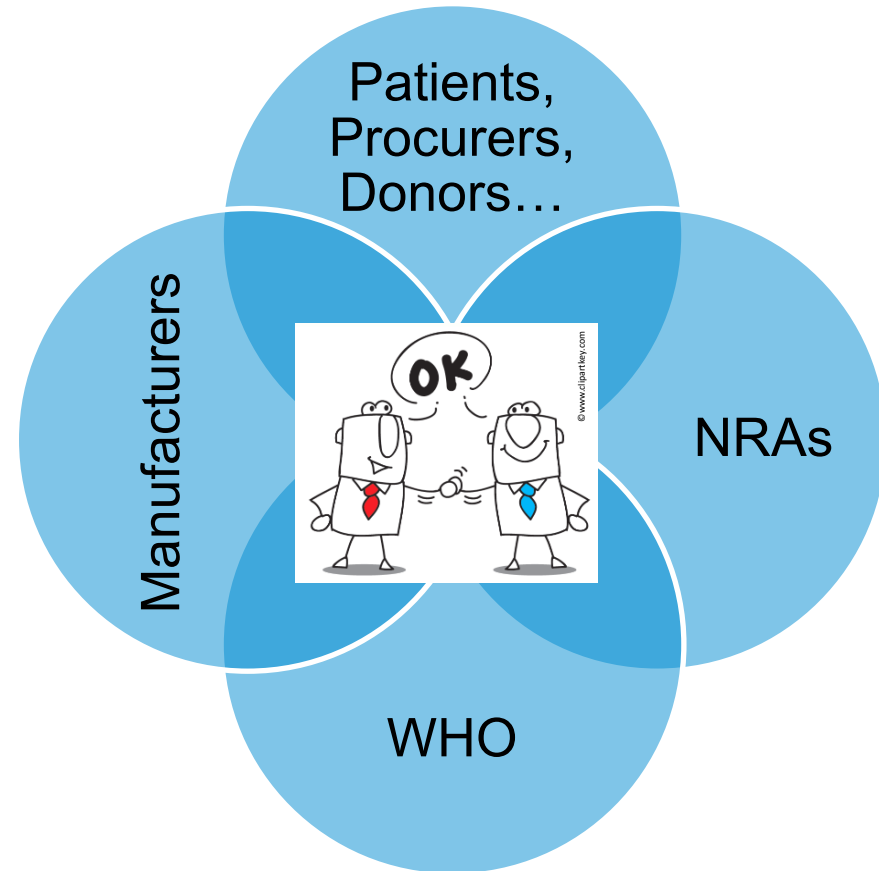
# Reliance - win-win outcomes for all concerned stakeholders - patients in the focus

Facilitate access to quality-assured medical products

Efficient use of available resources

Reduce approval timelines

Enabler for global supply



# WHO Facilitated Regulatory Pathways – Conclusions

## Regulatory Strategy

Manufacturers/developers to decide on the most appropriate filing strategy

FPI to clarify characteristics of the different pathways



## Tools for implementation

FPI Team to help NRAs to implement the different tools, including for CRP

Advocacy and relevant trainings

Many regulatory tools in the box!



Equitable access to affordable quality medicines and other health products requires **an integrated approach** with all stakeholders

Thank You



WORKING TOGETHER

Marie Valentin  
Team Lead, Facilitated Product Introduction  
WHO Regulation and Prequalification Department





# Best use of reliance and WHO Listed Authority (WLA) Industry point of View

Presented by Prisha  
Patel (Senior Manager,  
International Regulatory  
Policy, Pfizer, on behalf  
of IFPMA)



# Agenda

- WLA-Now and future status
- Best use of reliance and WLA
- Opportunities and open questions

# Where are we going?

Intent is to replace current SRAs with WLAs to enhance reliance by increasing the pool of national and regional reference agencies

Challenge of increasing complexity – From homogeneous to heterogeneous

FROM

A few “Stringent Regulatory Authority” SRAs\* + 3 WLA (Swiss Medic- former SRA, HSA and MFDS)

A 4x 4 jeep can navigate a variety of terrains

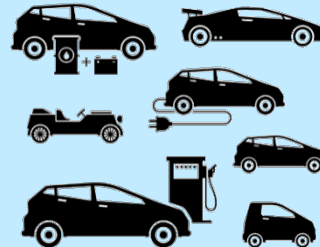


Similarly, SRAs have proven ability and a track record in handling complex products and all aspects of regulation for medicines and vaccines.

\* historically ICH members prior geographic expansion in 2015)

TO

Up to \*57 WLAs within 5 years with specific regulatory functions and product types nationally and regionally



WLAs will have specialist functions and scope, nationally and regionally, which will require careful navigation when seeking to use reliance by all stakeholders

\*53 NRAs plus 1 Regional Regulatory System on the tWLA list

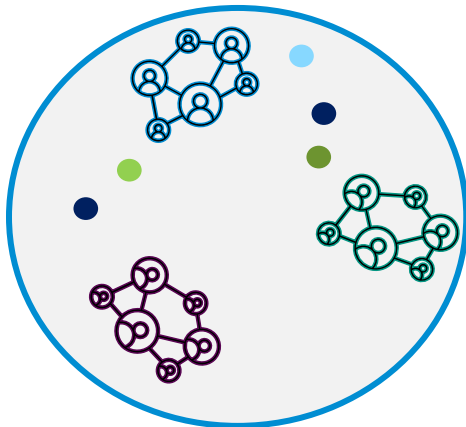
Navigation by stakeholders requires care:

- ✓ Regulators should cite which WLAs they will rely on but WLAs are not qualified for all functions – increasing the complexity of application
- ✓ There may be some regional WLAs with specialised functions
- ✓ Continuous monitoring WLA performance to ensure they are keeping high standards

**Opportunity to file earlier in countries that are currently in later stages of the filing sequence- disrupting the “sequence”**

**FROM**

WLAs could enable networks of regulators working together and relying on one another’s approvals within regions or across regions



ILLUSTRATIVE ONLY • 5-10 years

Companies currently tend to file for approval first in jurisdictions such as the US, EU, Japan etc and subsequently file in other countries

**TO**



If a WLA becomes the reference agency in a region this could provide a gateway to fast approvals within that region and could move up in the filing sequence to be a reference country

If those reference WLAs also have an **expedited pathway** to shorten the approval time for innovative products that would be even more attractive for companies

Regional WLA could also open opportunities



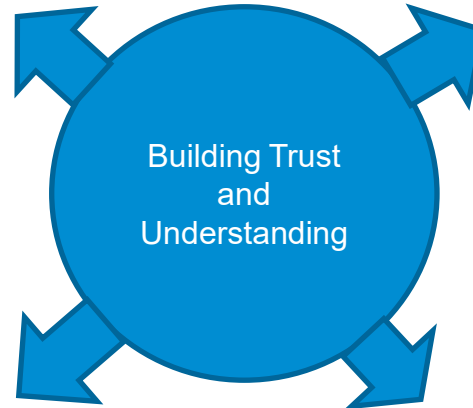
# Industry considerations on WLAs as reference authorities in reliance



Case by case assessment (product/ region) on applicability of using a designated WLA



Availability of attractive pathways with set review times in WLAs to be considered as reference



Acceptability of selected WLA by the relying agency

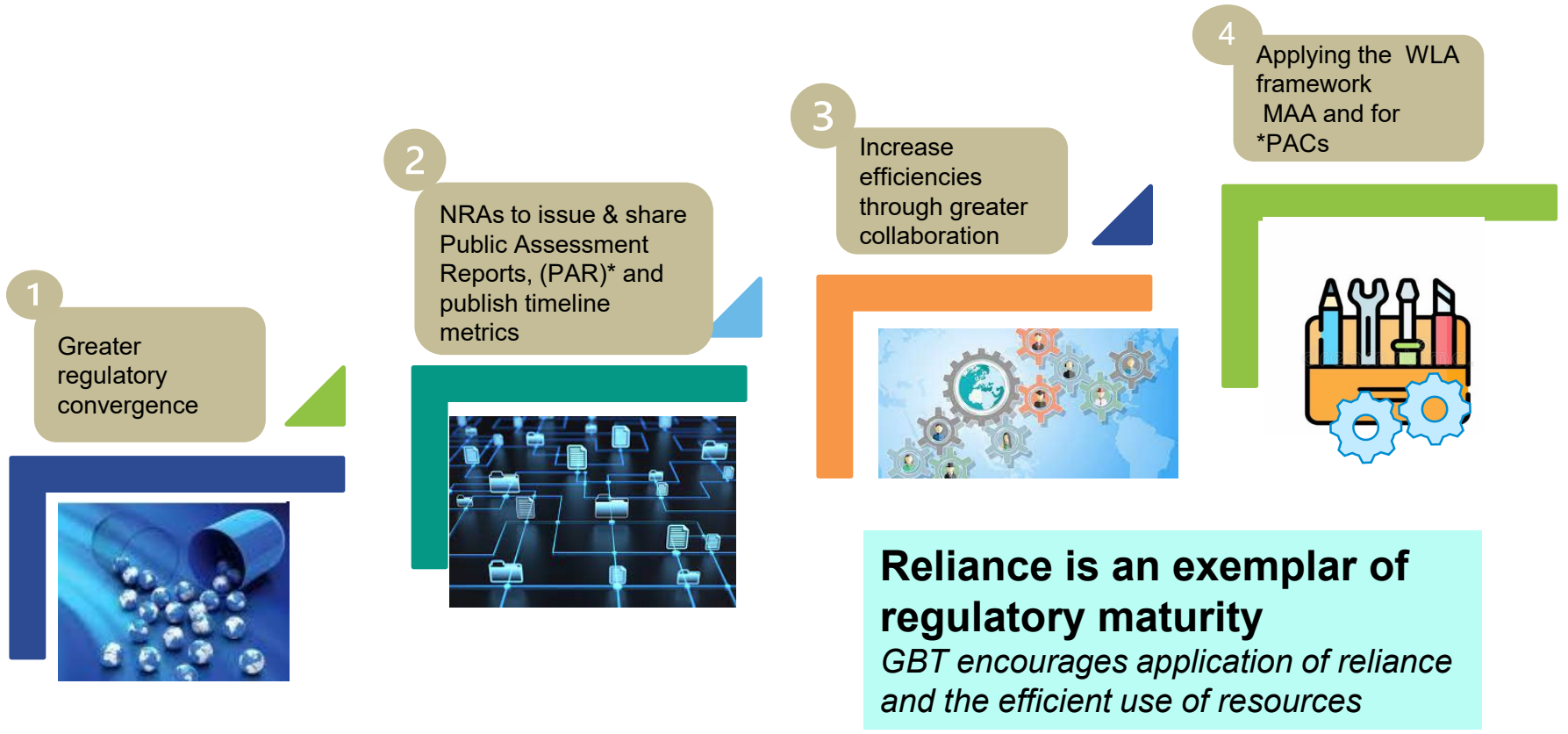


Availability of scientific advice and open dialogue



# Best use of reliance and WLA

**Achieving WLA status should encourage Good Regulatory Practices (GRegP), convergence of regulatory requirements and promote further collaboration via reliance and work-sharing for both initial approvals and post approval changes (PACs).**



\* [WHO GBT Tool sub-indicator MA05.03/04](#) \* Assume that “MAA category” covers approval of PACs



# Opportunities and Open Questions

*WLAs have the potential to broaden regulatory reliance and collaboration bringing benefits to companies and patients. Whether they live up to this promise depends on how we navigate through the transition from SRAs to WLAs*

Will new WLAs be incorporated into reliance frameworks in countries, or will there be a tendency to still rely on former SRAs?

How will the regional WLA listing work e.g. Africa, AMA?

How will WLAs increase transparency of decision making?

How can we encourage consistent (and ideally harmonised) use of the WLA concept?

How can the WLA concept optimize regulatory processes and reduce work duplication for industry and NRAs?





Thank you

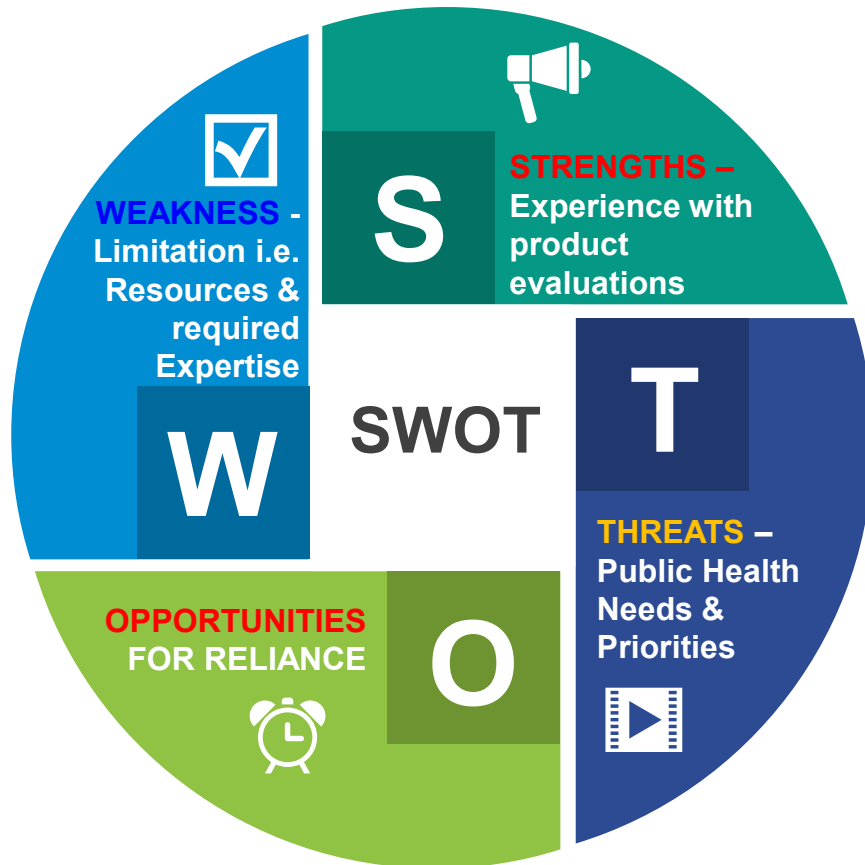


# Industry point of view – Best use of Reliance and Regulators Journey to WLA

**Parag NAGARKAR**  
**Director & Head – Regulatory Affairs**  
**Serum Institute of India Pvt. Ltd.**



# Current scenario of regulatory reliance and examples



**NRA Reliance Strategy – Not one size fits all !!**

### Example # 1

WHO Collaborative Registration Procedure (CRP) for Vaccine registration and life-cycle management

### Example # 2

European Union & its participant countries reliance through MR-DC & CP.

Mutual Recognition Agreement (MRA) with third countries (i.e. Australia, Canada, Israel, Japan, New Zealand, Switzerland & United States)

### Example # 3

US-FDA & EMA launched (15th September 2021) pilot programme for EMA-FDA parallel scientific advice for Hybrid/Complex generic products

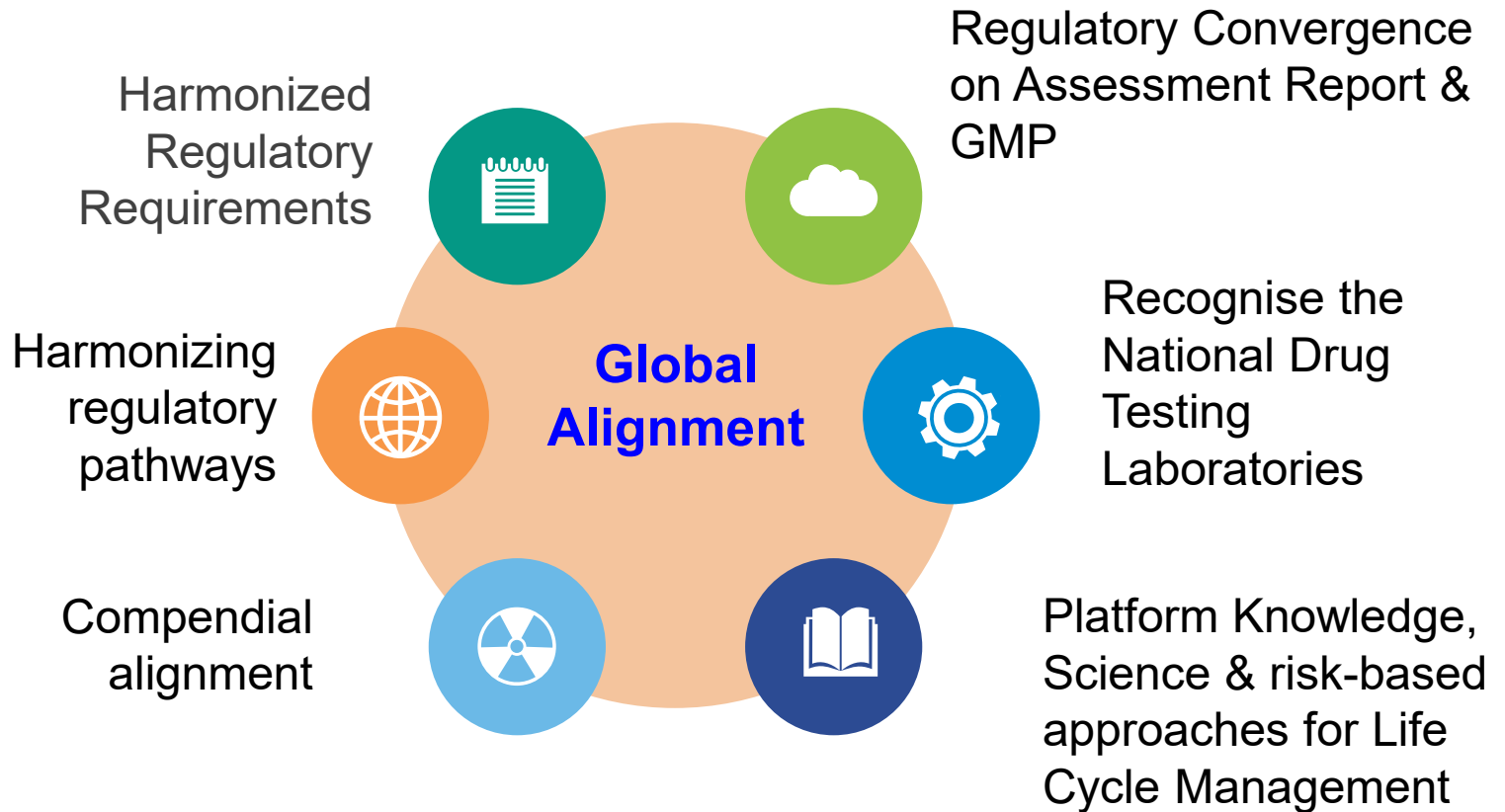
### Example # 4

MHRA - European Commission (EC) Decision Reliance Procedure.

### Example # 5

African Medicines Regulatory Harmonization (AMRH).

# Good Reliance Practices without compromising Quality, Safety & Efficacy



# Way forward for Global Regulatory Alignment & Reliance mechanism for WLA/Regulators

## Promoting Recognition/ Reference: WHO-PQ, Reference NRA/SRA.

- Support regulatory convergence through the **convincing power of WHO.**
- **Alignment with WHO regulatory** norms/requirements
- Improve efficiency, capacity and **awareness** of the Prequalification Programme (PQ) and Collaborative Registration Procedure (CRP)
- Build regulatory capacity in Member States consistent with good regulatory practices i.e. **Reliance on the functional NRA (Level 3)** may expedite review and approval of new vaccines & PAC (Post Approval Changes)
- Benchmarking of national regulatory systems and WHO Listed Authorities (WLA) i.e. **Lifting the Functional NRAs to Stringent NRA.**
- **Strengthen national regulatory capacity** to ensure quality of medical products

# Way forward for Global Regulatory Alignment & Reliance mechanism for WLA/Regulators

## Promoting Reliance:

- Promote **reliance and recognition** across regulatory functions
- Build **trust between NRAs**, increasing reliance and efficiency
- Implement regulation in an increasing number of countries through reliance and NRA networks, ***Implementation of WHO guideline of Good Reliance Practices (GReIP); 2021***
- **Strengthen pharmaceutical sector** capacity in countries that manufacture products for **LMICs and/or local supply**

## Promoting Digitalization

- **Digitalization of administrative documents** i.e. e-CPP, e- Labelling, approval letters etc.
- **eCTD implementation**
- Information **availability on portal** i.e. Public Assessment Report / GMP etc.

## Win-Win Outcome



### Patient & End User

- Timely access to safe, effective and quality products

### National Regulatory Agencies

- To reduce regulatory burden and duplication
- Promote efficient use of resources by re-allocating resources to high-risk areas/products

### Manufacturers

- Streamline management of regulatory submissions & uninterrupted global supply

# Implementation of Risk Based Approaches for Life-Cycle Management

- **Implementation of mechanisms ICH Q12 Principles**
  - Comparability Protocol (CP) / Post Approval Change Management Protocol (PACMP)
- **Adoption of risk-based approaches under the Pharmaceutical Quality System (PQS) aligned with ICH Q10 & ICH Q12**
  - If change is supported by FMEA analysis as per the ICH Q9, such changes can be classified under company's PQS. Such changes can be verified in next onsite Inspection.
- **WHO Collaborative Registration Procedure (CRP)**
  - Effective Life-Cycle Management by leveraging assessment and inspection outputs already produced by WHO-PQT, and thereby eliminating duplicative regulatory work







# MFDS's Journey to WLA

Nov 30, 2023

Director, Youngjin Ahn

Pharmaceutical Policy Division, MFDS

# CONTENTS

**01 | Background on WLA Registration**

**02 | WLA Registration Process**

**03 | Lessons Learned and Next Step**

## ✓ Announcement of WHO's "WLA Framework"



- Respond to develop a transparent and evidence-based pathway for regulatory authorities operating at an advanced level of performance to be globally recognized
- Promote **access** and **supply** of **safe, effective and quality** medical products



✓ MFDS, supported to WLA initiative

✓ decided to preemptively pursue WLA registration,  
filed intent for registration(Nov, 2021)

# 2-1. WLA Registration Process

Announcement of WLA Framework by WHO



**ML4**

- Filed intent for WLA registration (Nov, 2021)
- Newly established WLA TF (Jan 5, 2022)

- Submitted internal assessment data based on GBT (Jan, 2022)
- Decided to carry out risk-based streamlined assessment (Mar, 2022)

Performed inspection on GBT and PE by WHO assessors ( From Apr to Oct, 2022)

Achieved ML4 based on GBT (Medicine & Vaccine) (Nov 30, 2022)

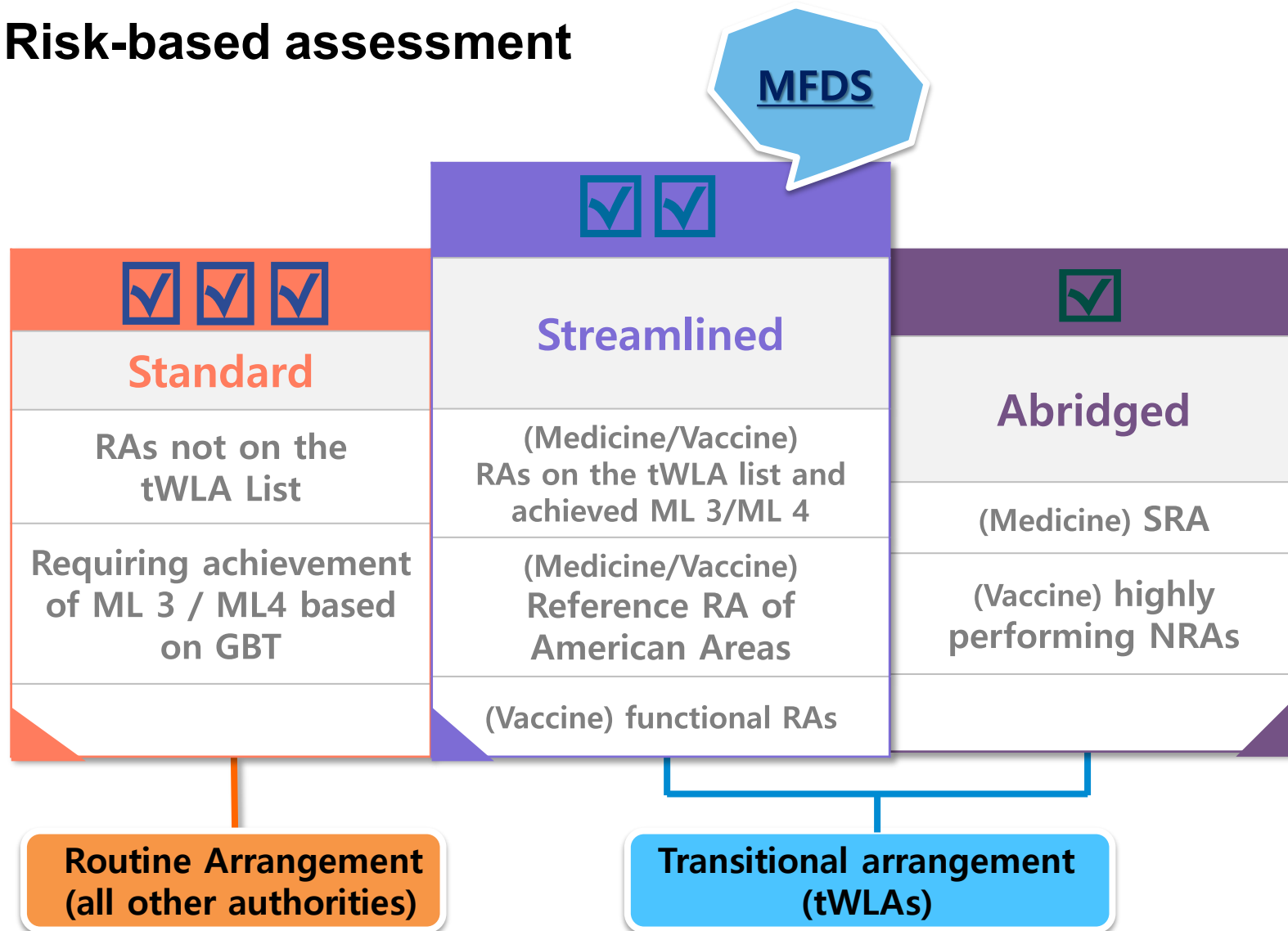
Conducted remote assessment on MA & CT PE (From Feb to May, 2023)

Received final decision on WLA registration (Medicine & Vaccine) (Oct, 2023)



## 2-2. WLA Registration Process

### ✓ Risk-based assessment





## Landmark listing of first three countries as WHO-Listed regulatory Authorities

31 October 2023 | Departmental news | Reading time: 2 min (453 words)

The Health Sciences Authority (HSA), Singapore; the Ministry of Food and Drug Safety (MFDS), Republic of Korea; and the Swiss Agency for Therapeutic Products (Swissmedic), Switzerland are the first three countries to be listed as WHO-Listed Authorities.

A WHO-Listed Authority (WLA) is a regulatory authority or a regional regulatory system which has been documented to comply with all the indicators and requirements specified by WHO for the requested scope of listing based on an established benchmarking and performance evaluation process.

Members of the technical advisory group on WHO-Listed Authorities (TAG-WLA) met for the first time, 11 to 12 September 2023, at WHO headquarters in Geneva, Switzerland and reached a consensus to recommend the listing of HSA, MFDS and Swissmedic as WHO-Listed Authorities, after discussing the findings of the performance evaluations of these three regulatory authorities.

This represents a significant milestone for the Republic of Korea, Singapore and Switzerland, as the designation and public listing of MFDS, HSA and Swissmedic as WLA, is a global recognition that these three regulatory authorities meet WHO and other internationally recognized regulatory standards and practices.

"This achievement is the result of investment by the Governments of the Republic of Korea, Singapore and Switzerland in the strengthening of their regulatory systems and reaffirms the collaboration between WHO and the three Governments in promoting confidence, trust and further reliance on authorities that have attained this global recognition, through the transparent and evidence-based pathway for designating and listing of WLAs", said Dr Yukiko Nakatani Assistant Director-General for Access to Medicines and Health Products.

The introduction of a framework for designating and publicly listing a regulatory authority as a WHO Listed Authority (WLA) responds to Member States' requests to develop a transparent and evidence-based pathway for regulatory authorities operating at an advanced level of performance to be globally recognized, thereby replacing the procurement-oriented concept of stringent regulatory authorities.

Implementation of the WLA framework is intended to promote access and supply of safe, effective and quality medical products. The framework also provides for the optimal use of limited resources by facilitating reliance on the work products and decisions of trusted agencies in the decision-making of regulatory authorities, the WHO Prequalification Programme and procurement agencies.

While the ultimate responsibility and decision for use of the WLA list resides with the users and depends on the specific context of its intended use, the benefits of a robust, transparent, evidence-based, global system for recognizing regulatory excellence serve the interests of a variety of stakeholders that are committed to promoting access to safe, effective, and quality medical products. It is expected that HSA, MFDS and Swissmedic will sustain this achievement, thereby enabling greater regulatory efficiencies and more informed decision-making at the national, regional and global levels.

### Media Contacts



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< WHO Listed Authorities >

## MFDS becomes the first to be WHO-Listed Regulatory Authority

- Korea's outstanding regulatory system and capabilities have been internationally recognized
- The WLA's status is expected to grant Korea favorable conditions for international procurement of pharmaceuticals and correspondingly expand exports

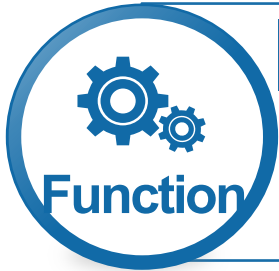
The Ministry of Food and Drug Safety (Minister Oh Yu-Kyoung), Republic of Korea officially stated on November 1st (KST) that WHO announced that MFDS has been listed as WHO-Listed Authority (as of October 26, 2023) on October 31, 2023 (local time in Geneva).

This makes the MFDS the first WHO-Listed Authority in the world. The following 8 regulatory functions in the field of medicines and vaccines are included.

- ① Vigilance ② Licensing Establishments ③ Regulatory Inspection ④ Laboratory Testing, ⑤ Clinical Trials oversight ⑥ NRA Lot Release ⑦ Registration and Marketing Authorization ⑧ Market Surveillance and Control

WLA is a list of excellent regulatory authorities created by WHO via evaluating regulatory systems and work performance

# 3-1. Organization and function



## Government Organization Act (Article 24)

- “In order to administer duties concerning the safety of foods and drugs, Ministry of Food and Drug Safety shall be established under the Prime Minister.”



## Total 2,014 government officials as of 2023.9.

- Headquarter : **663 officials**
- NIFDS : **446 officials**
- Regional FDS : **905 officials**



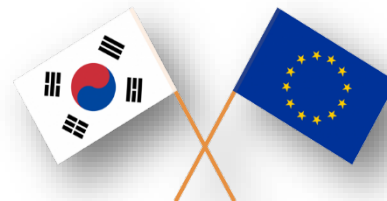
## Year 2023

- About 512 million USD





# 3-2. International Regulatory Network



Registered in EU White List



The Pharmaceutical Inspection Co-operation Scheme (PIC/S)

2014



2016



2019



2023



Joined PIC/S

Joined ICH

Achieved WLA Registration



WHO-Listed Authority (WLA)

## Lessons

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- ❖ Maintaining strength of Korea's regulatory capacity in medical products
- ❖ Awareness of international regulatory harmonization

## Next Step

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- ❖ Sustaining efforts to improve Reliance among regulators and harmonize international regulation
- ❖ Supporting capacity building of regulatory authorities to achieve advance in regulatory performances



# Thank You



Ministry of Food and  
Drug Safety



**Coffee/tea Break 10:30 – 11:00**

## Session 2: Setting standards, safety monitoring and market control – Objectives



Ensuring efficient safety monitoring

Supply Chain Integrity – updates on DEG / EG contamination of syrup medicines

Nitrosamine Exchange – A Global Knowledge Base

Updates from WHO Experts Committee activities

## Session 2: Setting standards, safety monitoring and market control – **Panelists**

- **Dr Shanthi Pal**, Team Lead, REG/pharmacovigilance (*virtually*)
- **Mr Rutendo Kuwana**, Team Lead, REG/Incidents and Substandard/Falsified medical products (*virtually*)
- **Dr Frederick Meadows**, Sr. Technical Advisor, Product Supply Management & CMC, United States Pharmacopeia
- **Dr Steve Estevao Cordeiro**, Technical Officer, HPS/Norms and Standards for Pharmaceuticals
- **Dr Ivana Knezevic**, Team Lead, HPS/Norms and Standards for Biologicals (*virtually*)



Thank you for your attention!



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